

*PATRAS 2017 workshop, 16th of May 2017*

# Direct Dark Matter Searches with LUX and LZ

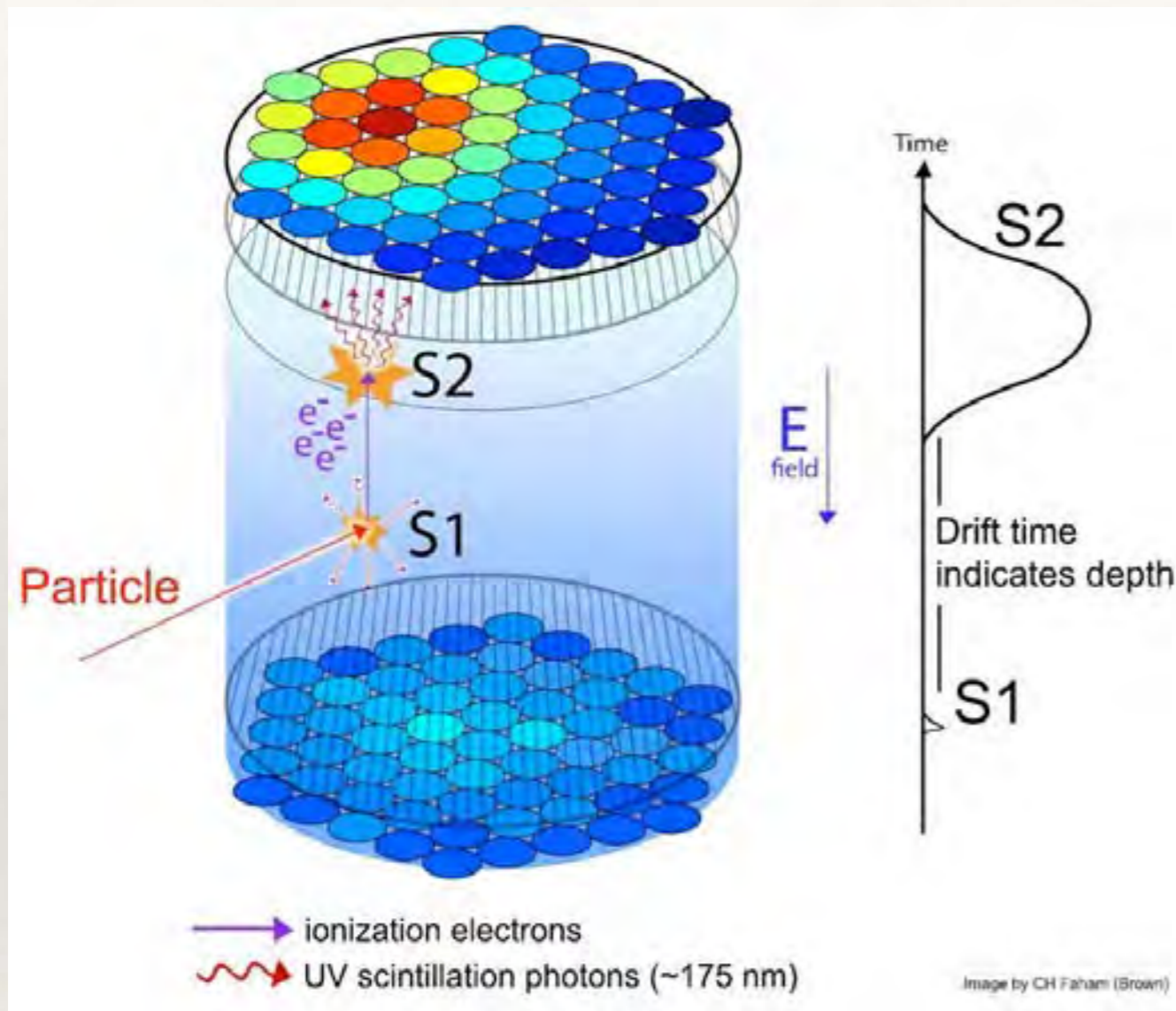
Alex Lindote

*LIP and University of Coimbra*

on behalf of the LUX and LZ  
collaborations



# 2-phase xenon TPC – working principle

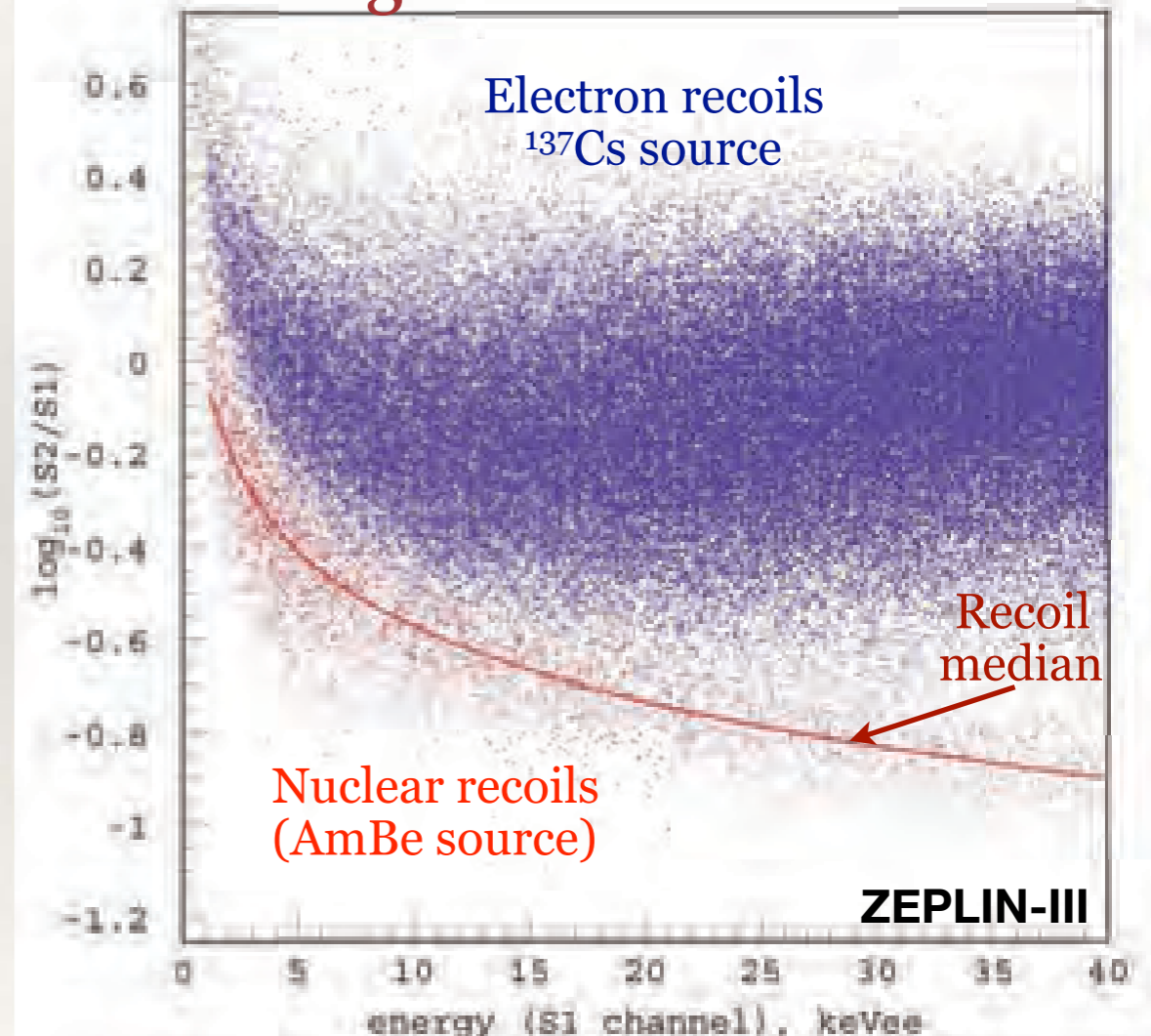


## 3D Position Reconstruction

- Z from time difference between S1 and S2 (1.5 mm/ $\mu$ s @ 180 V/cm)
- XY reconstructed from light pattern (resolution of a few mm in WIMP search region)

- WIMPs and neutrons → nuclear recoils **short, dense tracks**
- $\gamma$ s and  $e^-$  → electron recoils **longer, less dense tracks**

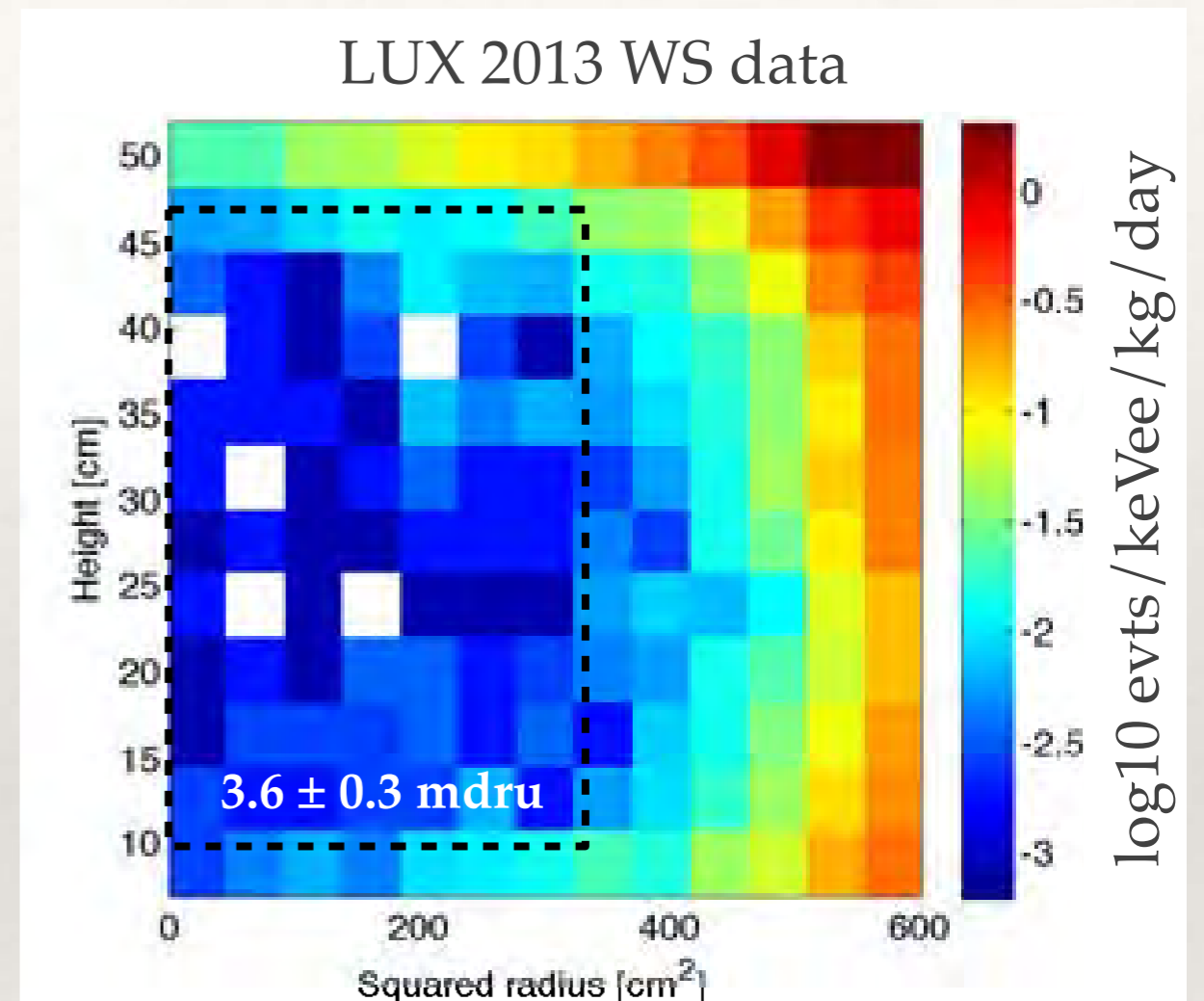
## Background discrimination



**S2/S1 used for discrimination (>99.5% @ 50% NR acceptance)**

# Xenon as a WIMP target

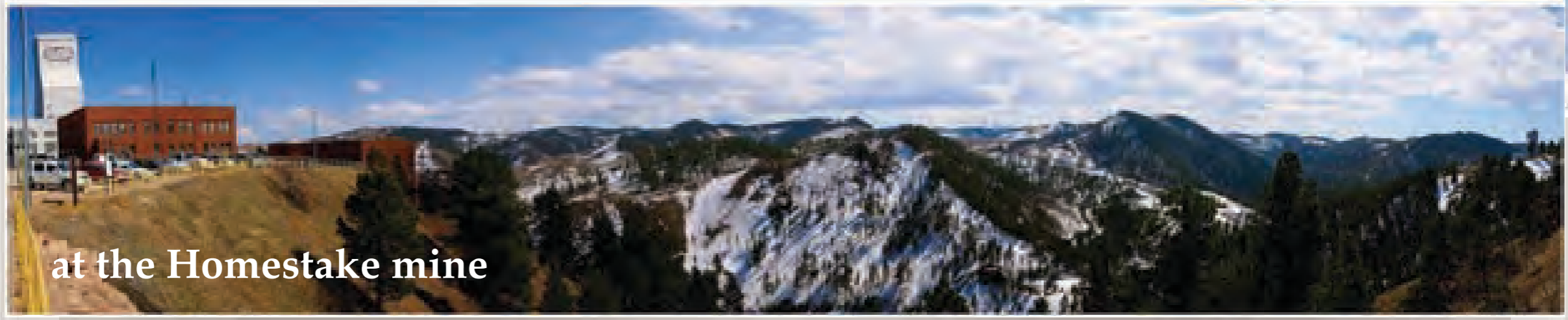
- ❖ Relatively high density (2.9 g/cm<sup>3</sup>)
- ❖ Self-shielding (using 3D pos. recons.)
- ❖ High atomic mass (A=131 g/mol)
- ❖ Spin-dependent sensitive isotopes
- ❖ Long electron drift lengths (~1 m)
- ❖ Excellent ionisation threshold
- ❖ No intrinsic backgrounds
- ❖ Scalable to multi-ton size



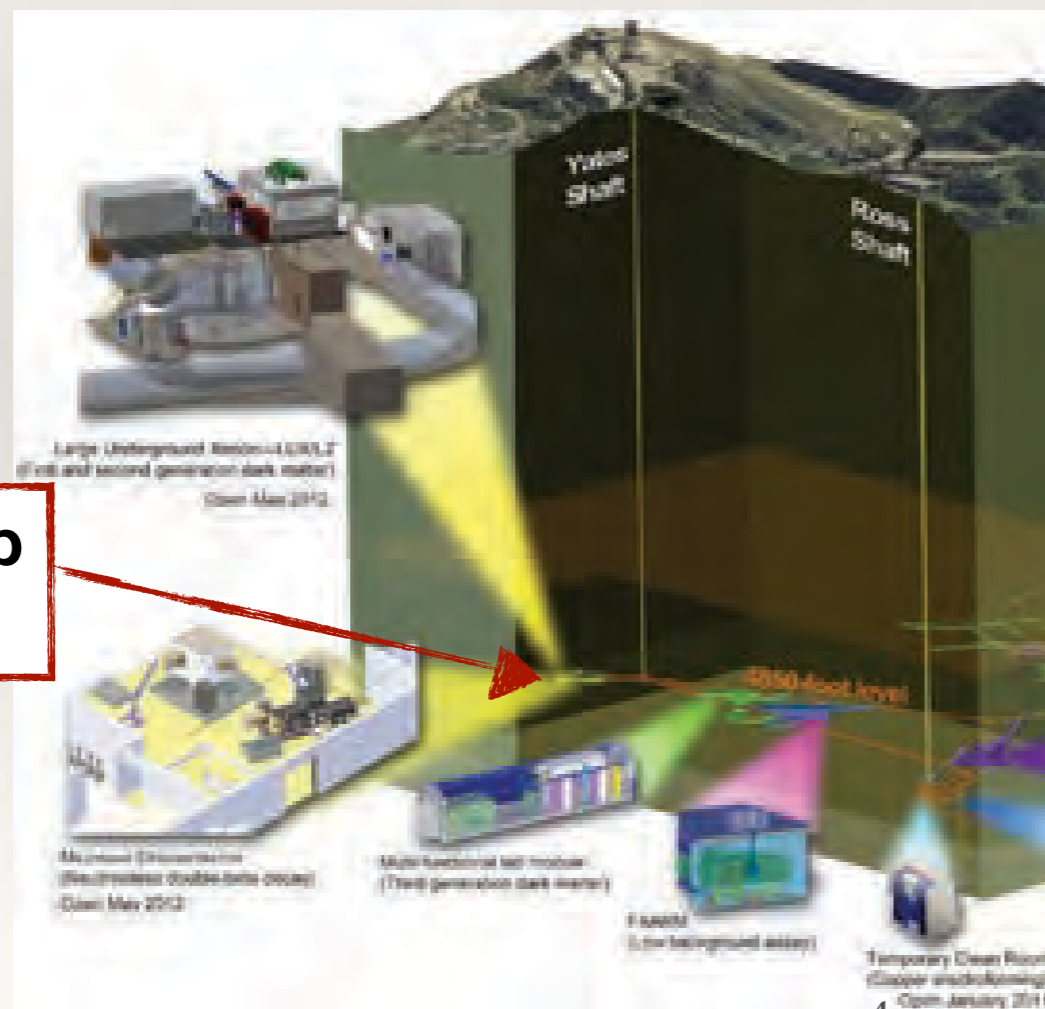
1 mdru = 1 evt/keV/ton/day



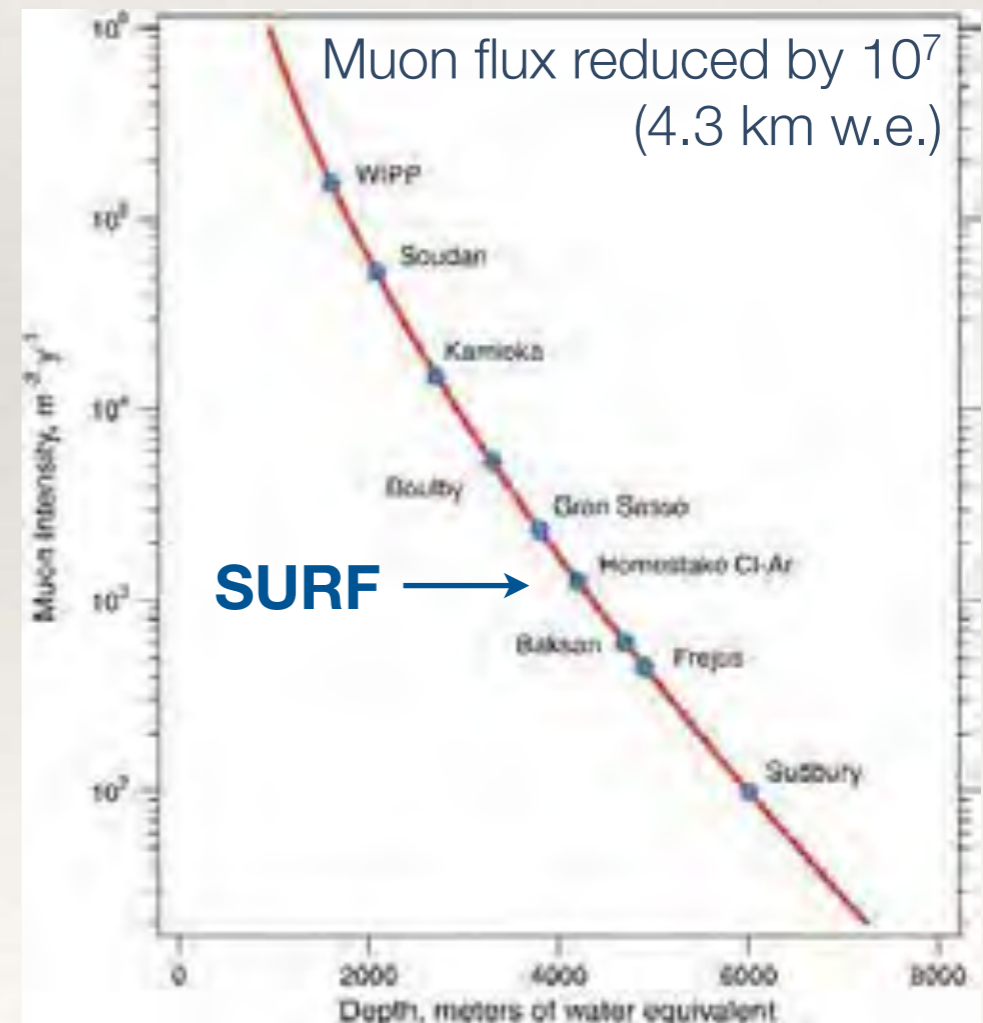
# Sanford UG Research Lab



at the Homestake mine



**4850 feet deep  
(1478 m)**

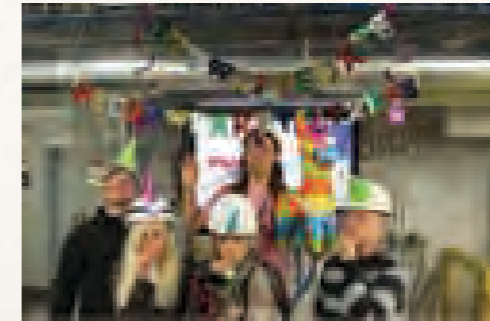


# LUX Timeline

2008: LUX funded  
(DOE+NSF)

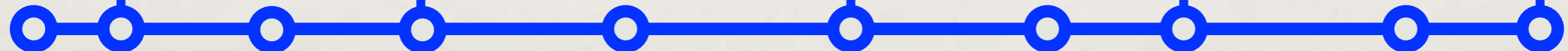
2013 (Apr): First  
science run starts

2014 (Sep):  
332-day run  
started!



2016 (Sep):  
Decommis.  
starts

2016 (May):  
Run finished



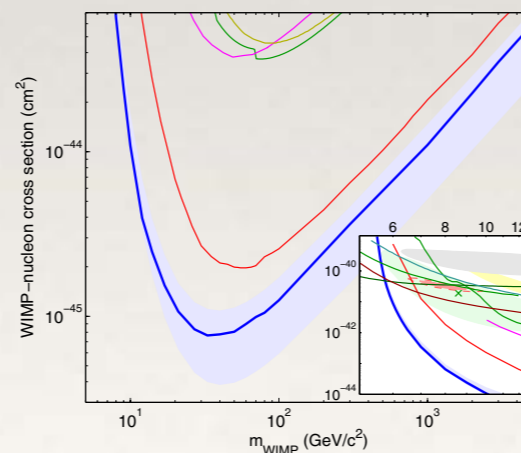
2006: LUX  
collab. formed

2013 (Nov): First results  
(3 months) reported

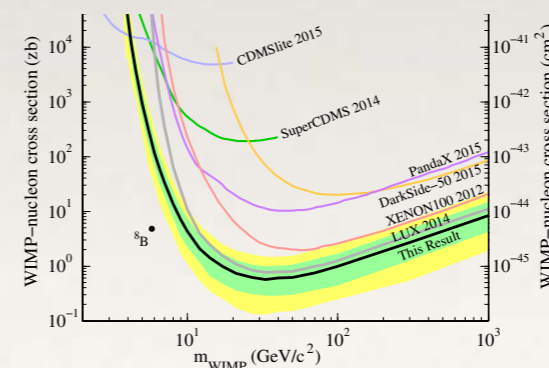
2015 (Dec.) 3-month  
run reanalysis posted

2016 (July): 332  
day results  
announced

2012 (Jul): UG lab  
complete, LUX  
moves UG



PRL, 112, 091303 2014



PRL, 116, 161301 2016

PRL, 116, 161302 2016

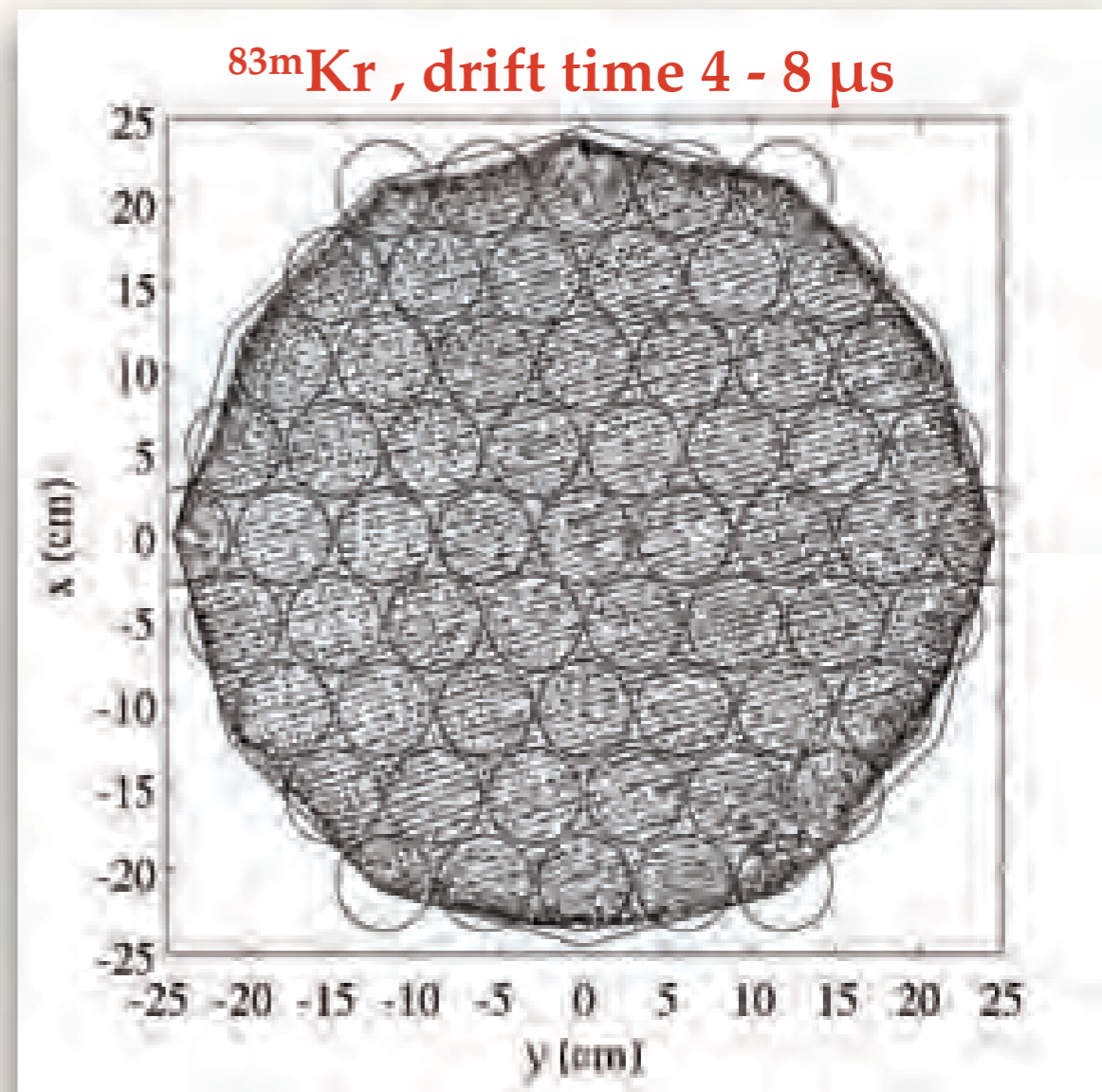
# LUX Details

- ❖ 49 cm diameter by 59 cm height dodecagonal chamber
  - ❖ PTFE walls to maximize light collection
  - ❖ 48 cm drift length
- ❖ 370 kg of liquid xenon
  - ❖ 250 kg in the active region
- ❖ 122 Hamamatsu R8778 PMTs
  - ❖ in two arrays
- ❖ Ultra-low background Ti cryostats
- ❖ Xenon continuously recirculated to maintain purity ( $\sim 250$  kg/day)
- ❖ Chromatographic separation reduced Kr content to  $\sim 4$  ppt
- ❖ Inside 300 tonne water tank
  - ❖ all external backgrounds subdominant



# Calibrations – $^{83\text{m}}\text{Kr}$

- ❖ Injected ~weekly in the gas system
- ❖ Quickly mixes in the xenon, uniform distribution
- ❖ 2 IT electrons in quick succession
  - ❖ 32.2 keV + 9.4 keV ( $T_{1/2} = 154$  ns)
  - ❖ Mono energetic for our standard analysis
- ❖ 1.8 hours half-life
  - ❖ Clears the system in a few hours
- ❖ Used for:
  - ❖ Position reconstruction
  - ❖ Electron lifetime
  - ❖ S1 and S2 position corrections

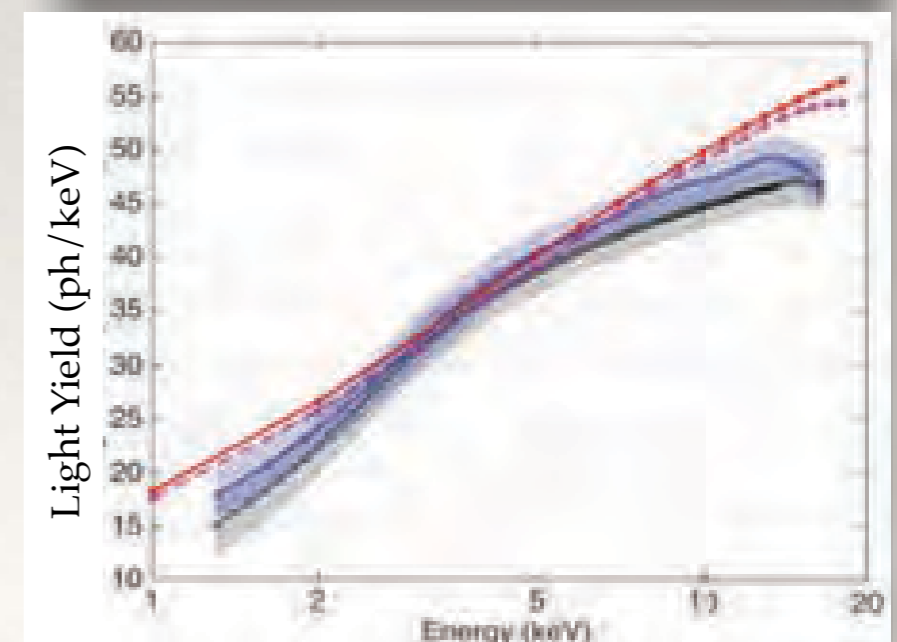
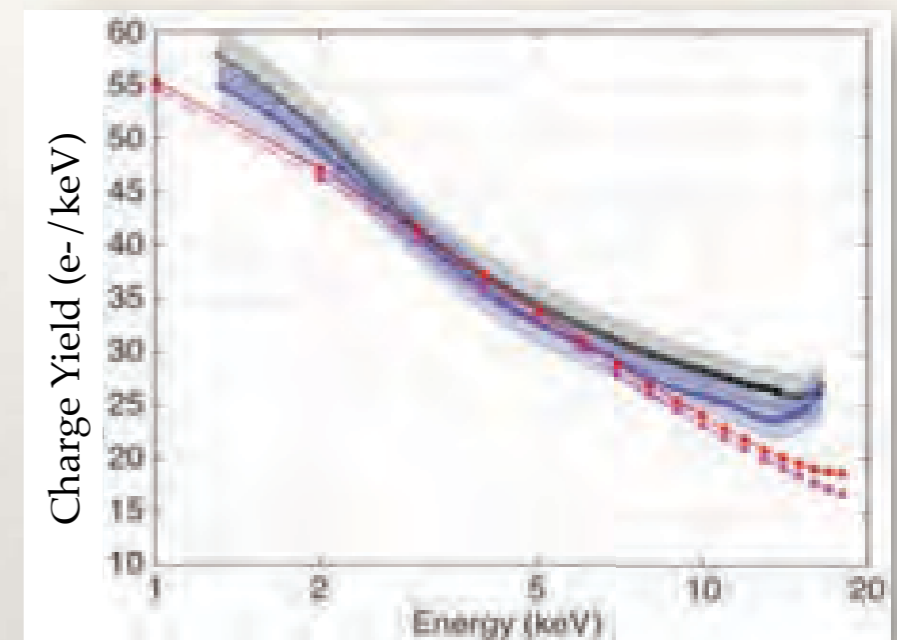
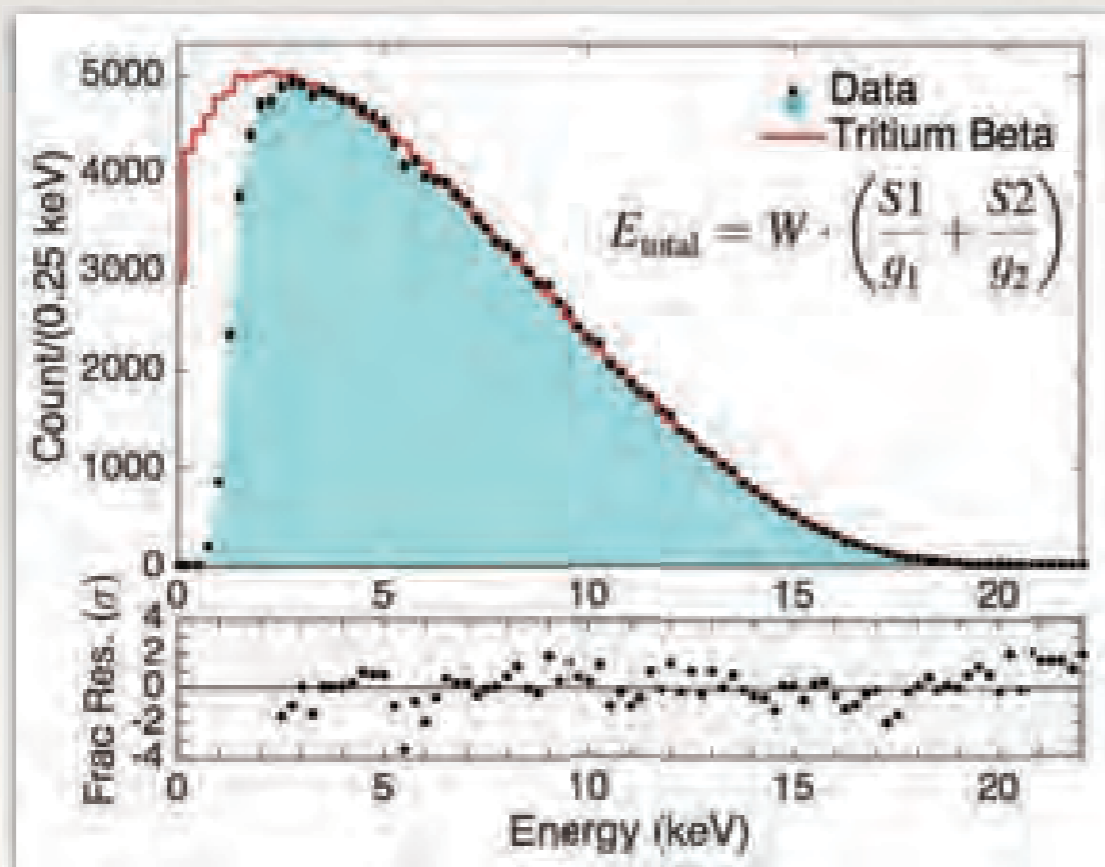




# Calibrations - Electron Recoils

- ❖ Tritium has a low energy  $\beta$  decay ( $Q = 18.6$  keV,  $\langle E \rangle = 5.9$  keV)
  - ❖ ideal to study the response of the detector to electron recoils
  - ❖ used to determine the ER band
- ❖ Long half-life (12.3 yr)
  - ❖  $\text{CH}_3\text{T}$  removed by purity system ( $T_{1/2} \sim 6$  hours)
- ❖ Injected every three months

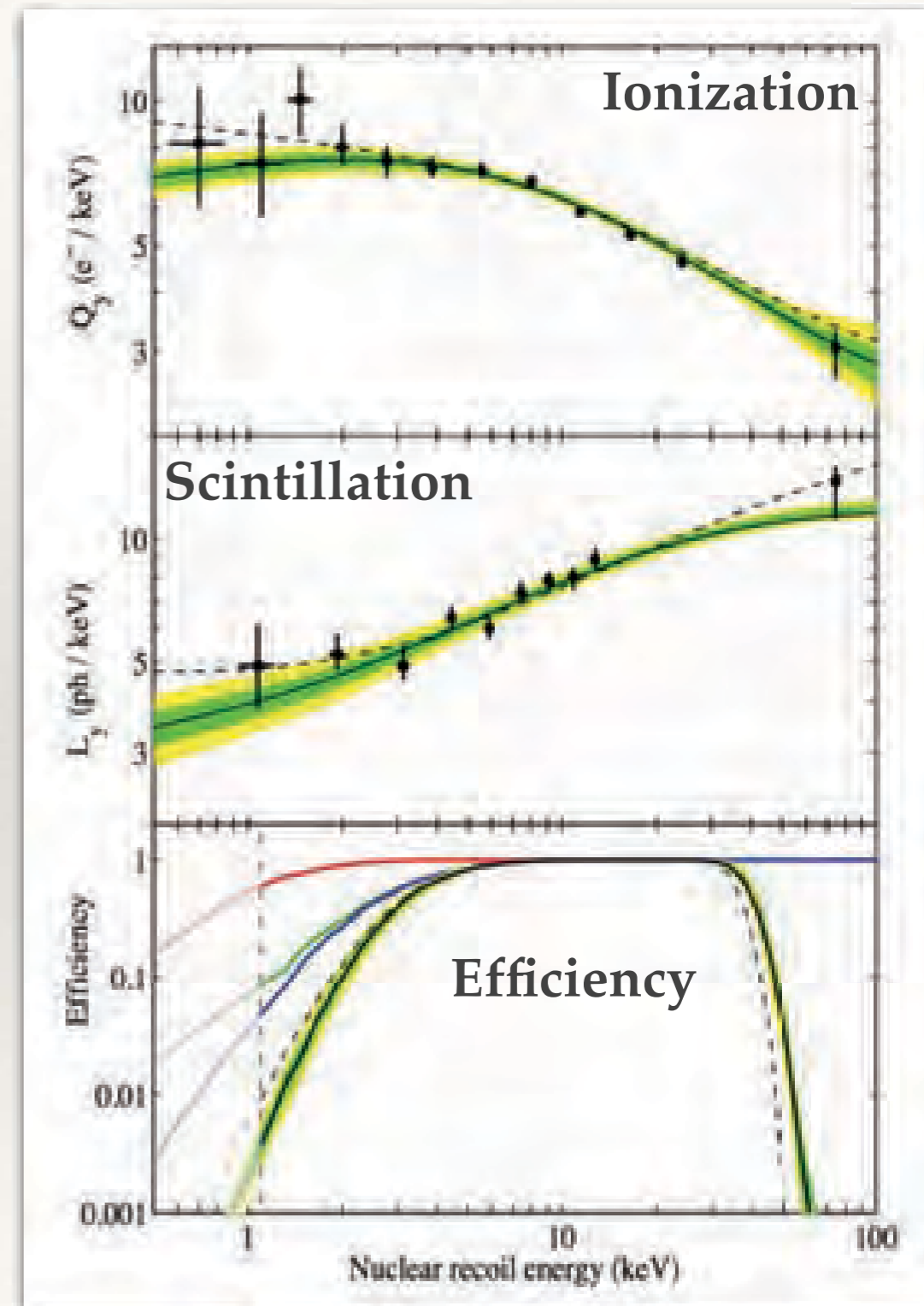
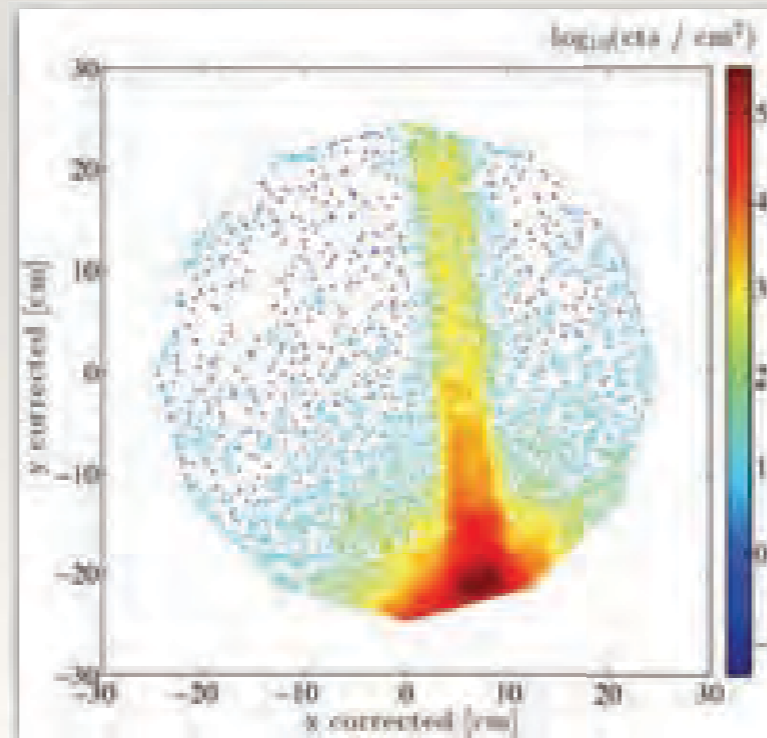
Phys. Rev. D 93, 072009 (2016)



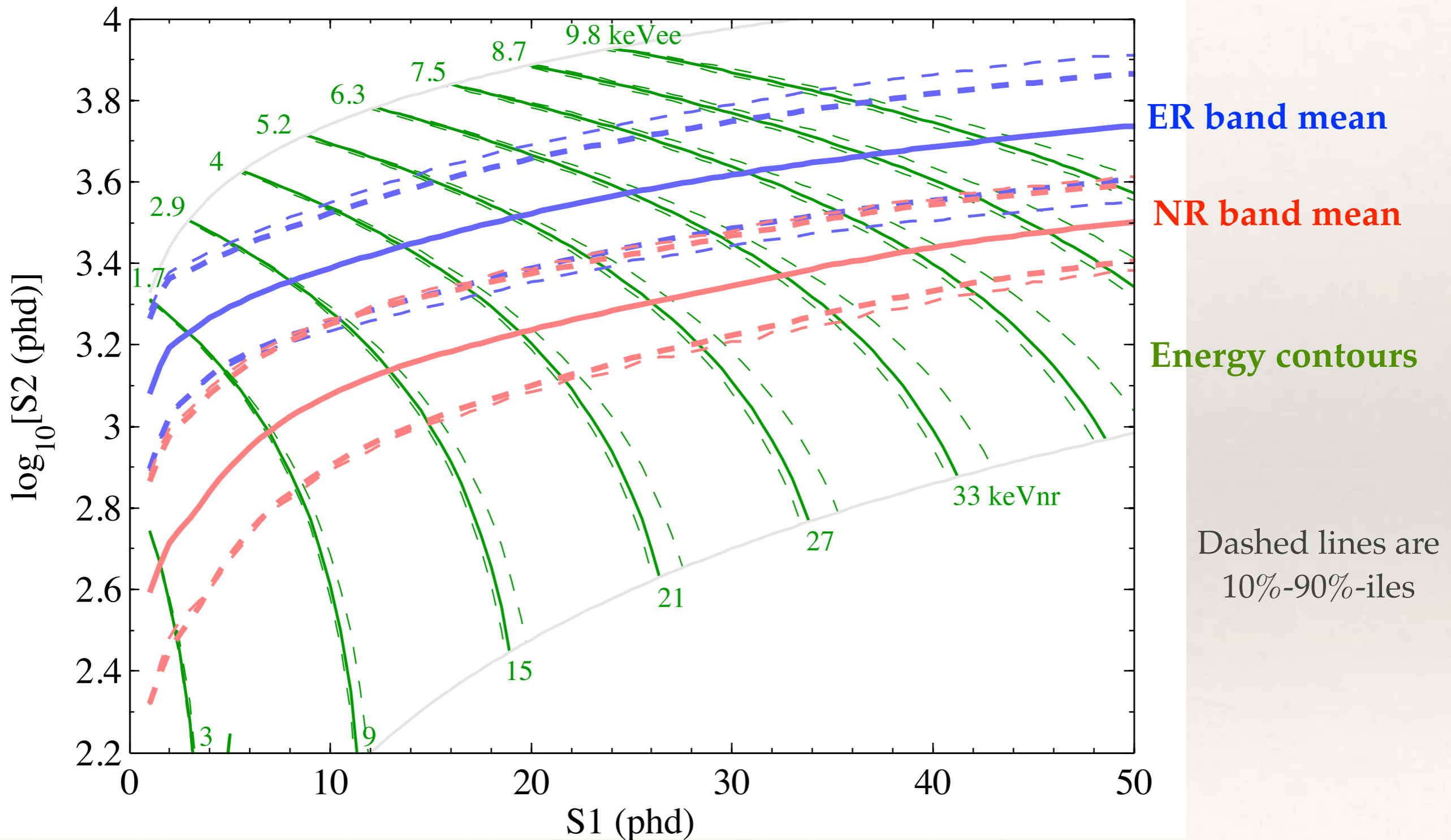


# Calibrations – Nuclear Recoils

- ❖ DD neutron generator outside water tank (2.45 MeV neutrons)
- ❖ NR calibrations every 3 months and at different levels
- ❖ Double scatters used for  $Q_y$  analysis (0.7 - 74 keV)
- ❖ Single scatters used for  $L_y$  analysis and NR band (1.1 - 74 keV)



# Parameter Space



# Backgrounds in 2014-16 Run

- ❖ LUX is a low-background detector
  - ❖ Furthermore, we already understand the backgrounds from the previous run
  - ❖ Unlike the 2013 run,  $^{127}\text{Xe}$  is no longer present

Background source	Expected number below NR median
External gamma rays	$1.51 \pm 0.19$
Internal betas	$1.2 \pm 0.06$
Rn plate out (wall background)	$8.7 \pm 3.5$
Accidental S1-S2 coincidences	$0.34 \pm 0.10$
Solar $^8\text{B}$ neutrinos (CNNS)	$0.15 \pm 0.02$

These are figures of merit only, we do a 5D likelihood analysis!

In the bulk, leakage at all energies

Low energy, but limited to the edge of the detector\*

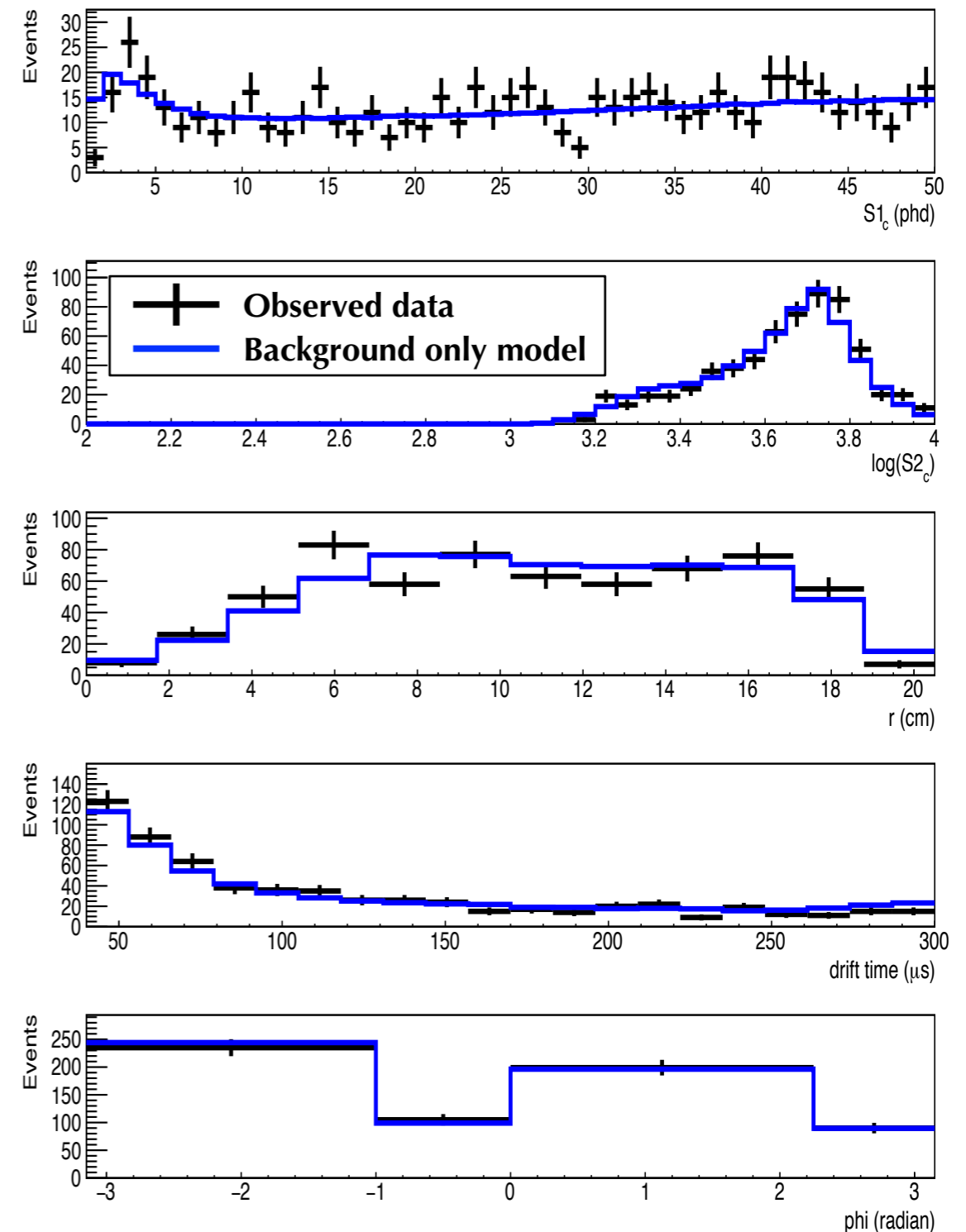
In the bulk, at low energy in the NR band

~ 0.3 single scatter neutrons, not included in PLR

\* - Our likelihood analysis includes position information, so these have a low likelihood as signal

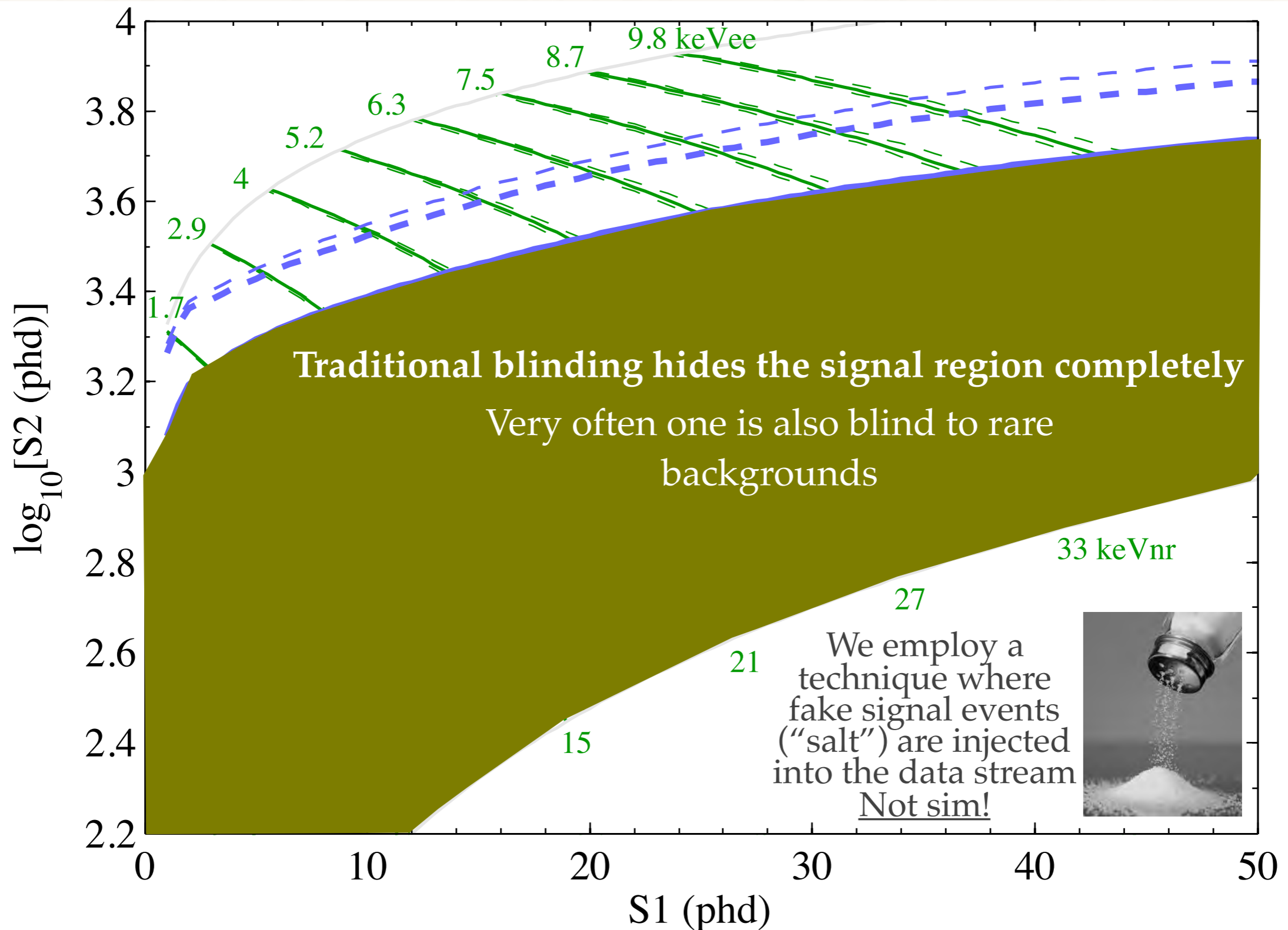
# Profile Likelihood Ratio Analysis

- ❖ The data in the upper-half of the ER band were compared to the model (plot at right) to assess goodness of fit.
- ❖ Data are compared to models in an un-binned, 2-sided profile-likelihood-ratio (PLR) test.
- ❖ 5 un-binned PLR dimensions:
  - ❖ Spatial:  $r$ ,  $\phi$ , drift-time (raw-measured coordinates)
  - ❖ Energy:  $S1$  and  $\log_{10}(S2)$
- ❖ 1 binned PLR dimension:
  - ❖ Event date

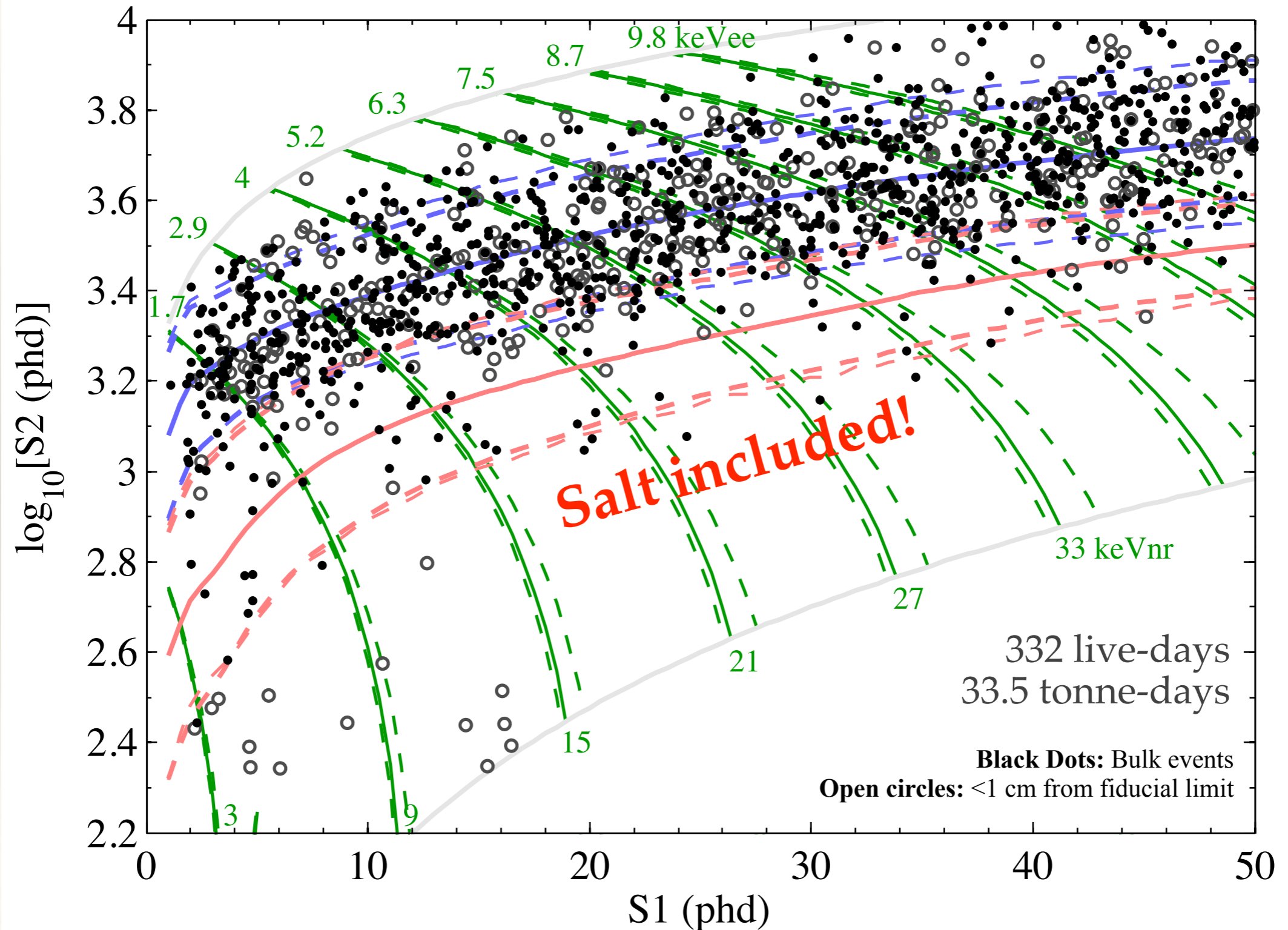




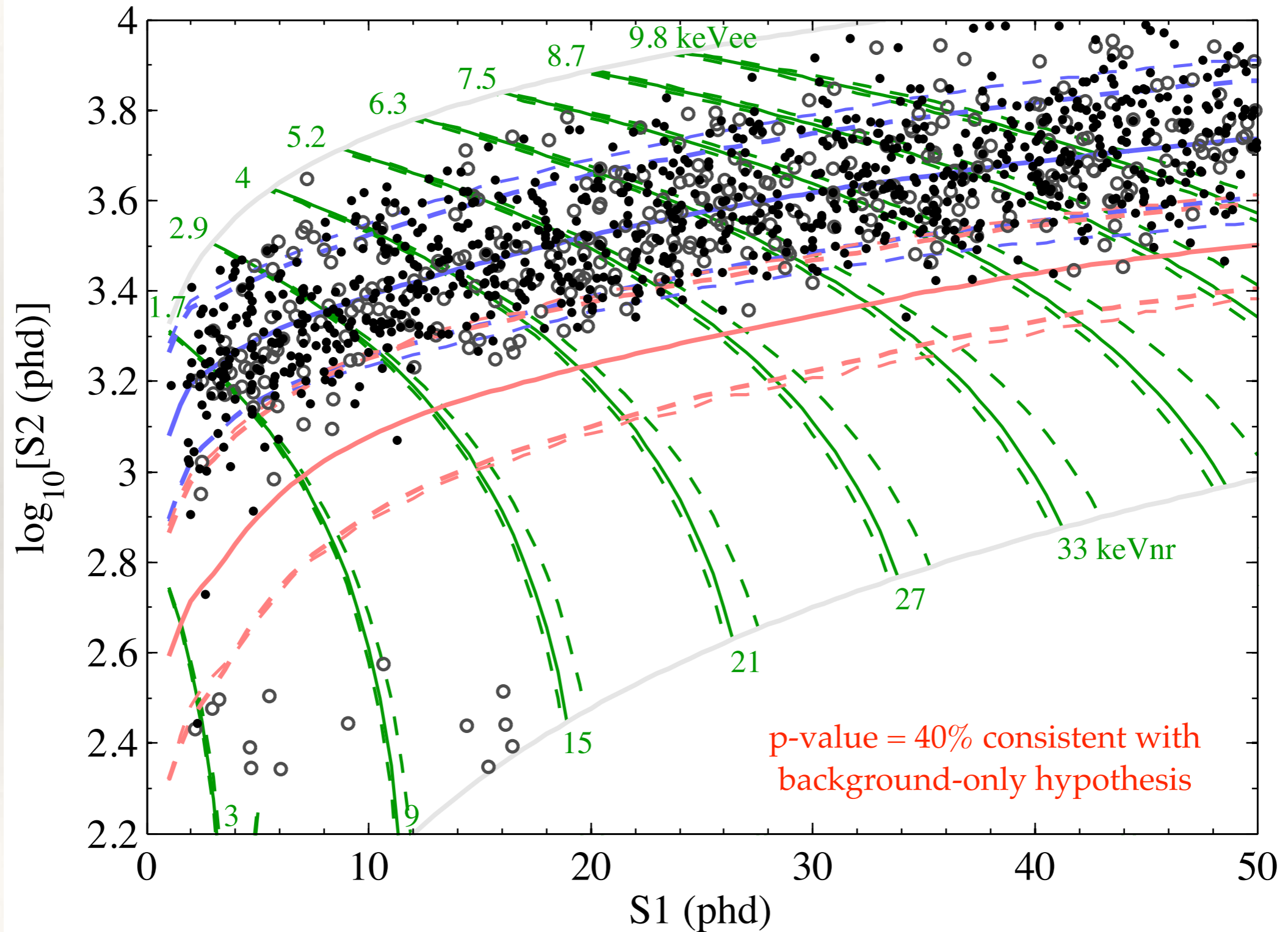
# Salting



# WIMP-Search Data

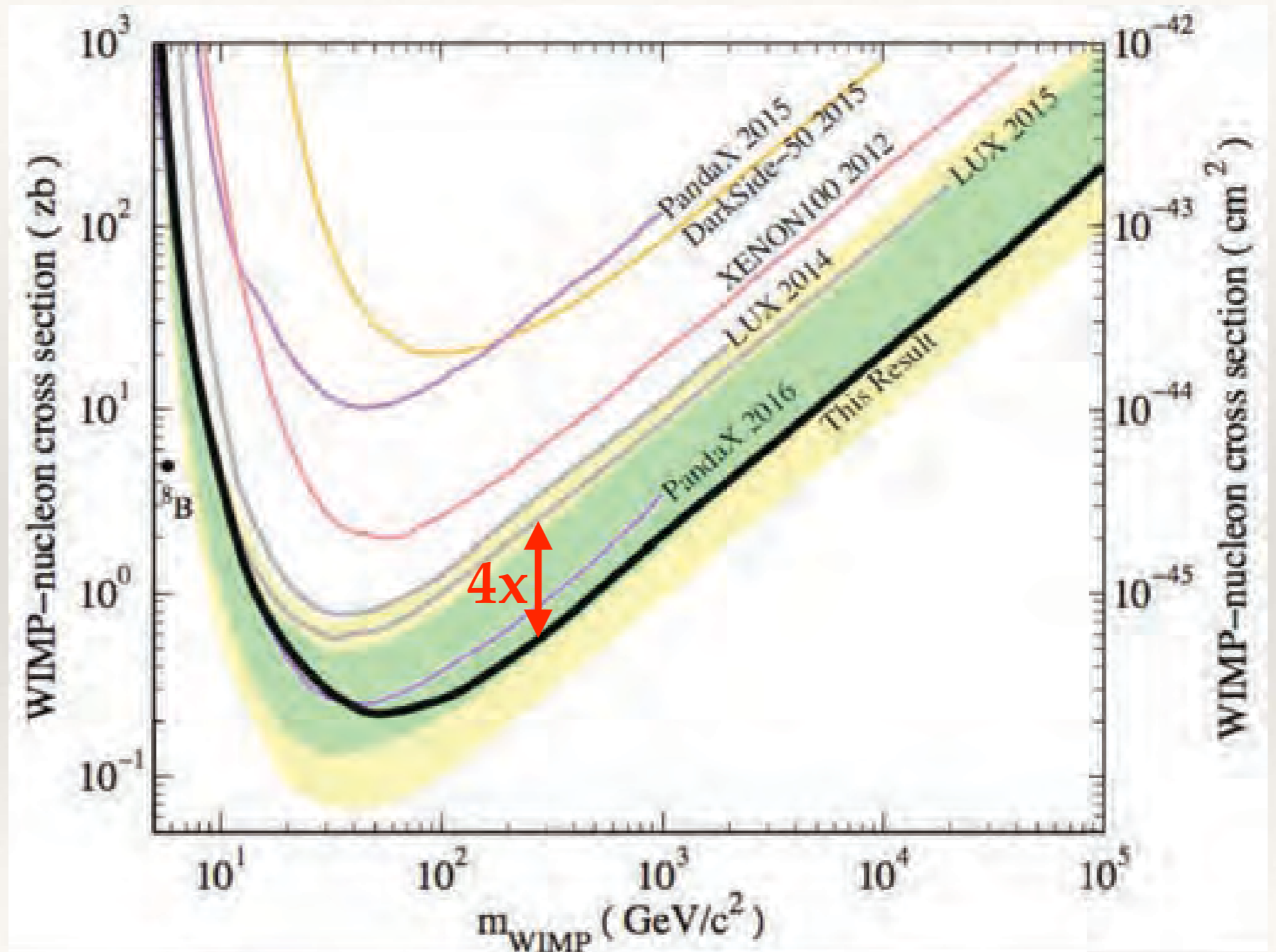


# WS Data – 332 live-days



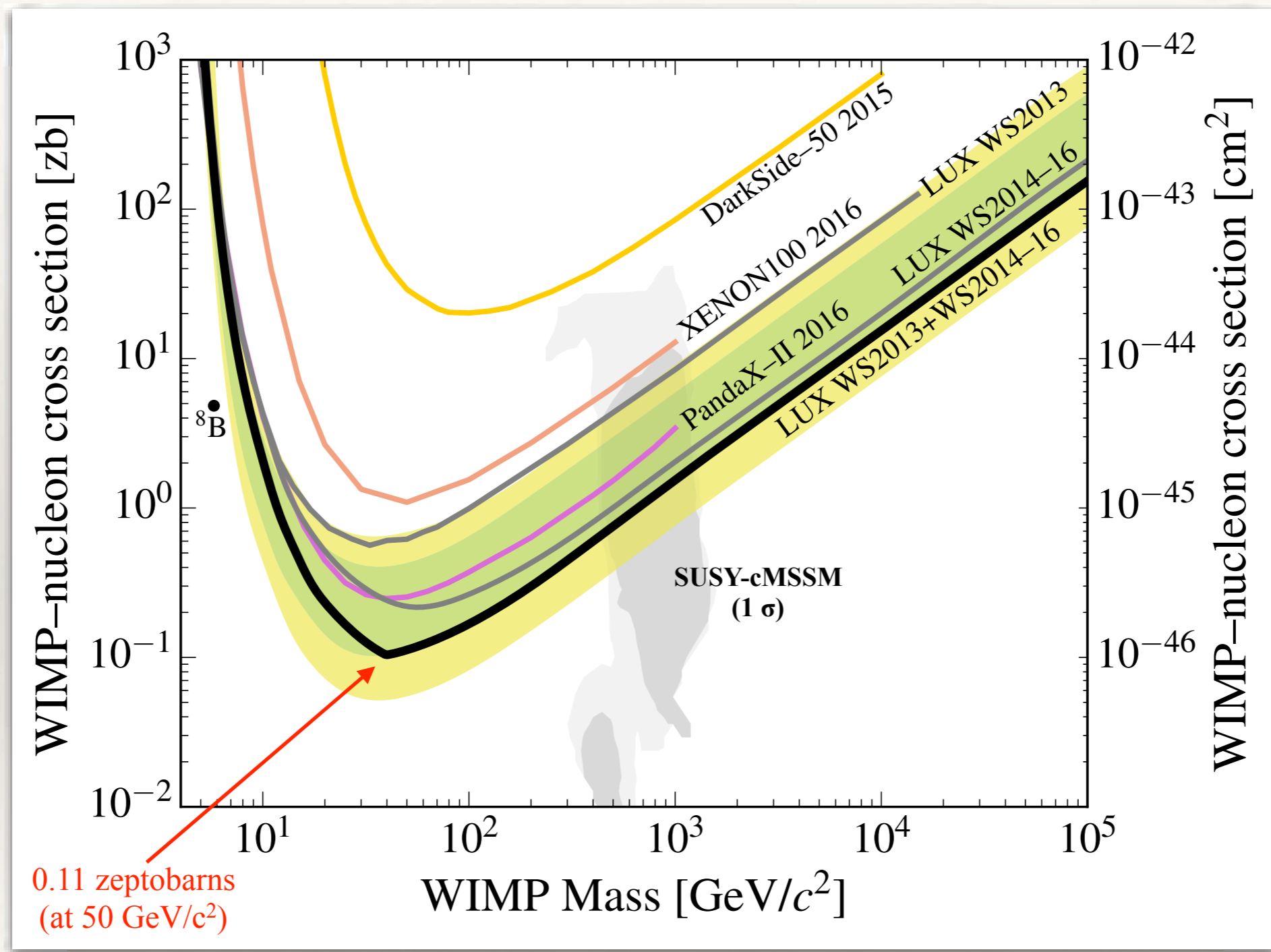
# SI Exclusion Limit – 332 live-days

- ❖ 4x improvement at high mass
- ❖ Minimum of 0.22 zb @ 50 GeV
- ❖ Brazil bands show 1- and 2-sigma range of sensitivities, based on random BG-only experiments



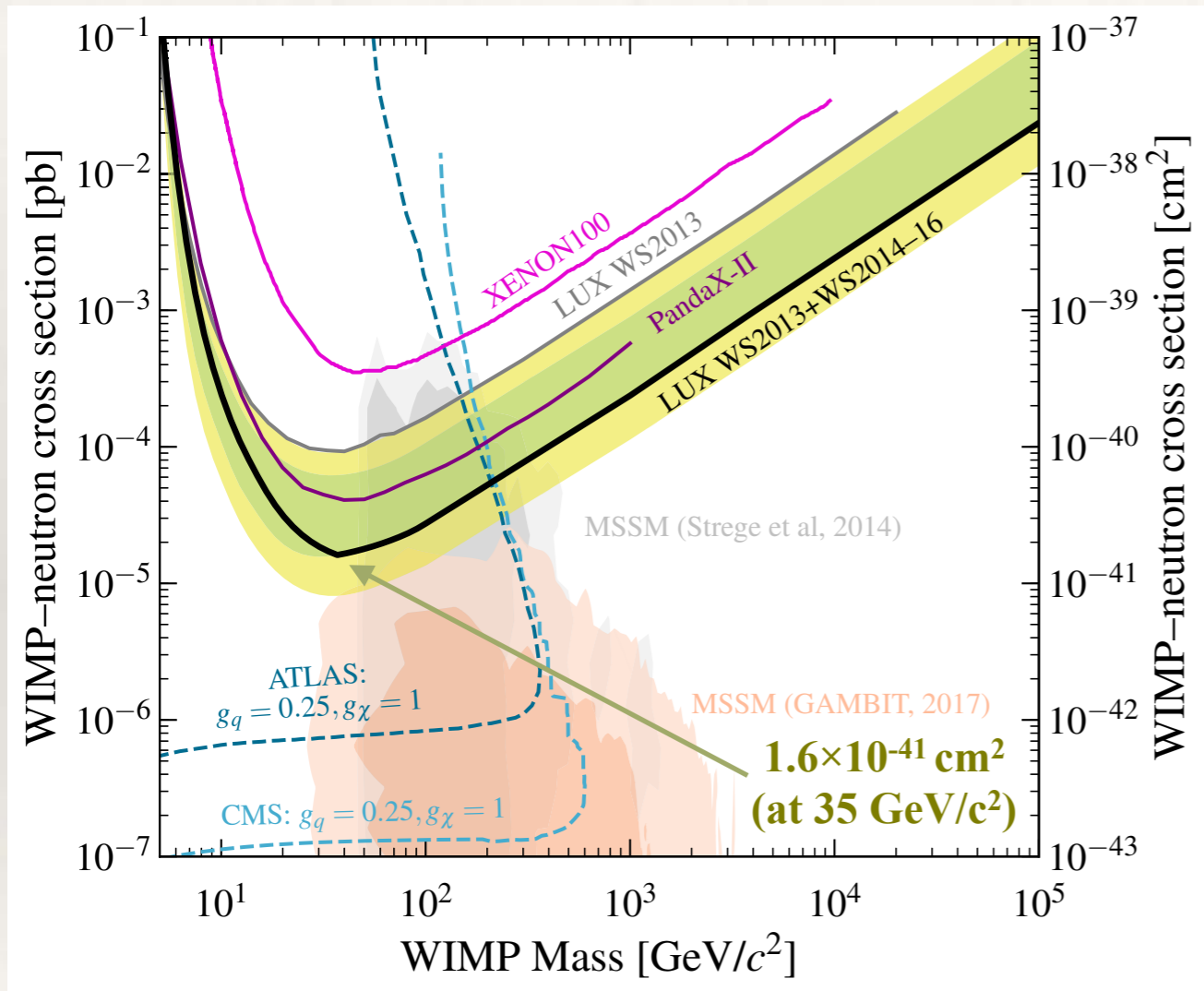


# SI Exclusion limit – 95+332 live-days

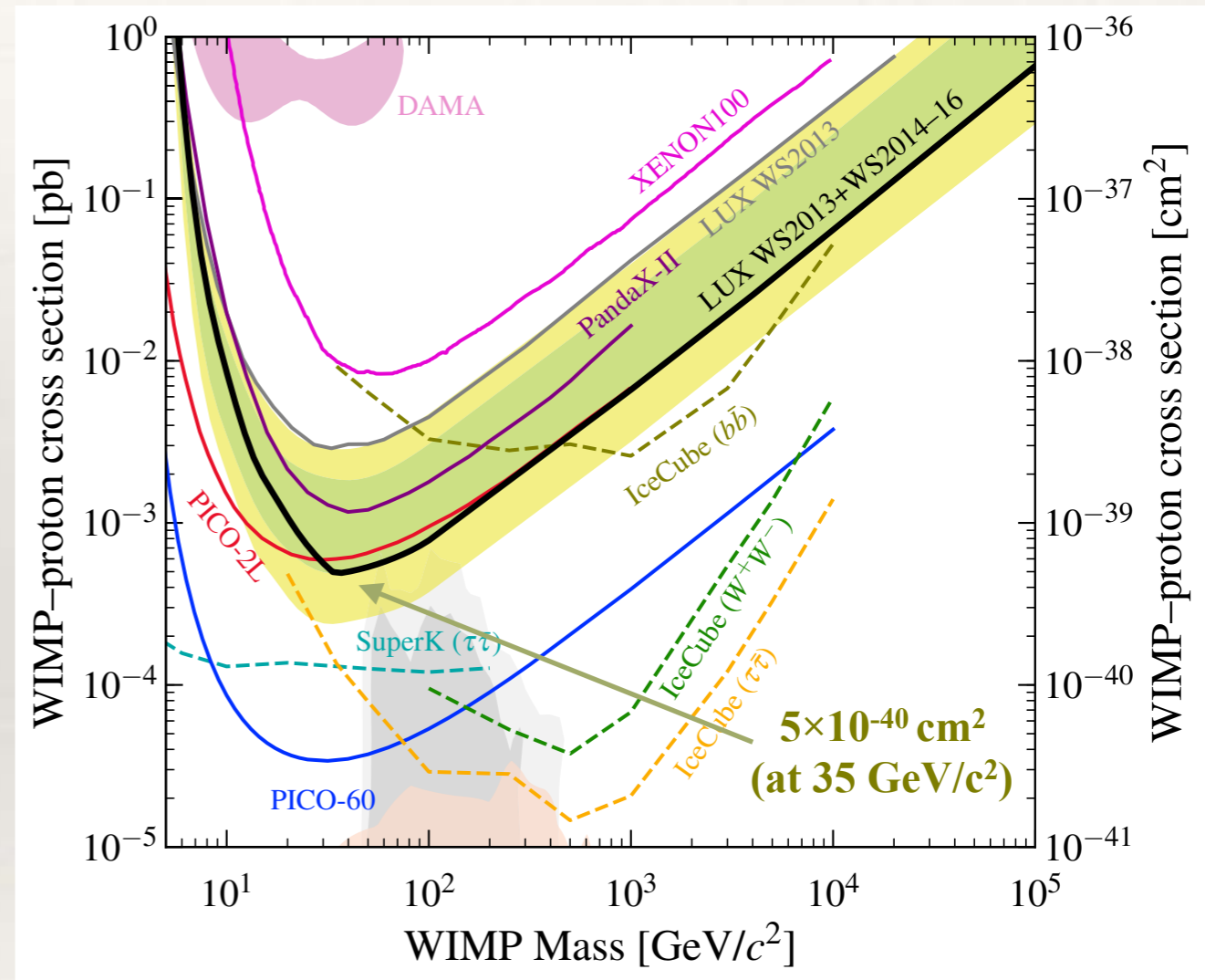


# SD Exclusion Limits – 95+332 live-days

## WIMP-neutron



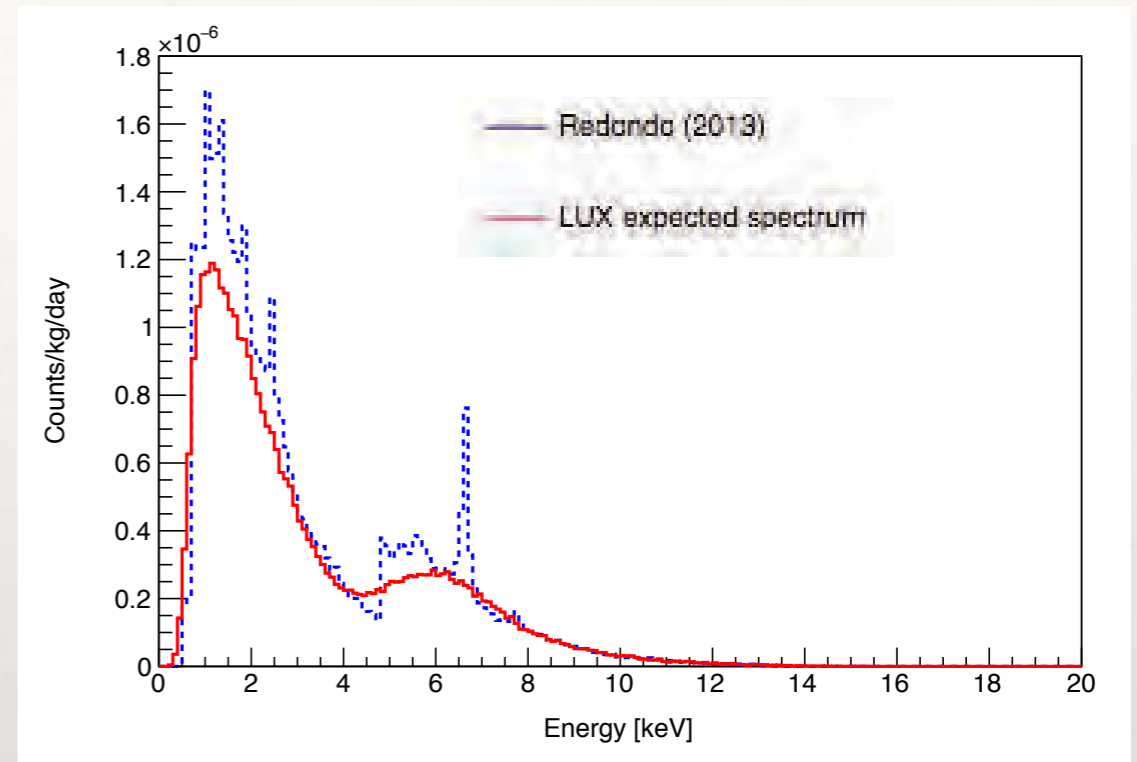
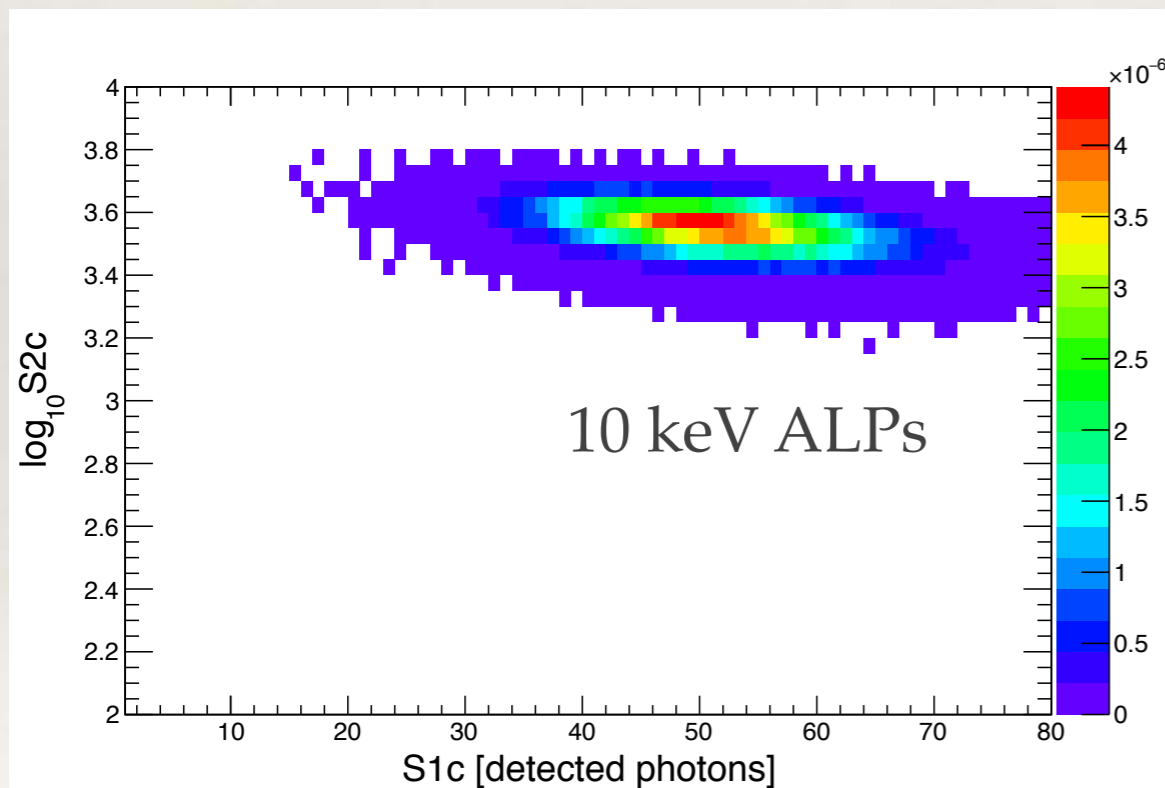
## WIMP-proton



An improvement of a factor of six compared with the results from the 2013 run

# Axions and ALPs in the 2013 Data

- ❖ Solar axion spectral shape: convolution of solar axion flux (JCAP 12, 008 (2013),  $g_{Ae} = 10^{-12}$ ) with axio-electric cross-section on xenon
- ❖ Resolution and efficiency modelled with NEST

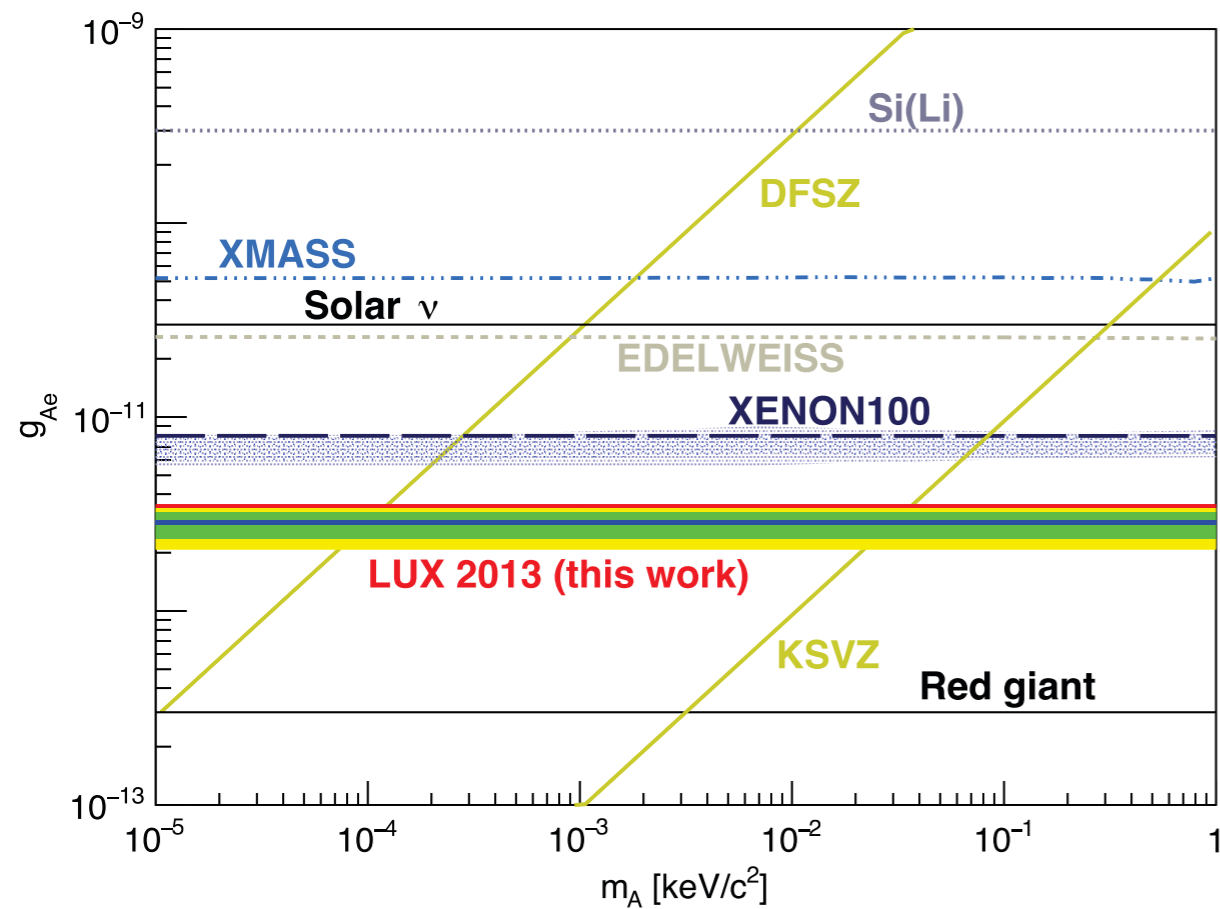


- ❖ ALPs expected to be at rest within the galaxy
- ❖ Axio-electric absorption leads to ERs with kinetic energy of the ALP mass: sharp feature, smeared by detector resolution

Backgrounds from 2013 data thoroughly studied and well understood  
PLR analysis with 4 observables:  $S1$ ,  $\log_{10}(S2)$ ,  $r$  and  $z$

# Limits for Axions and ALPs

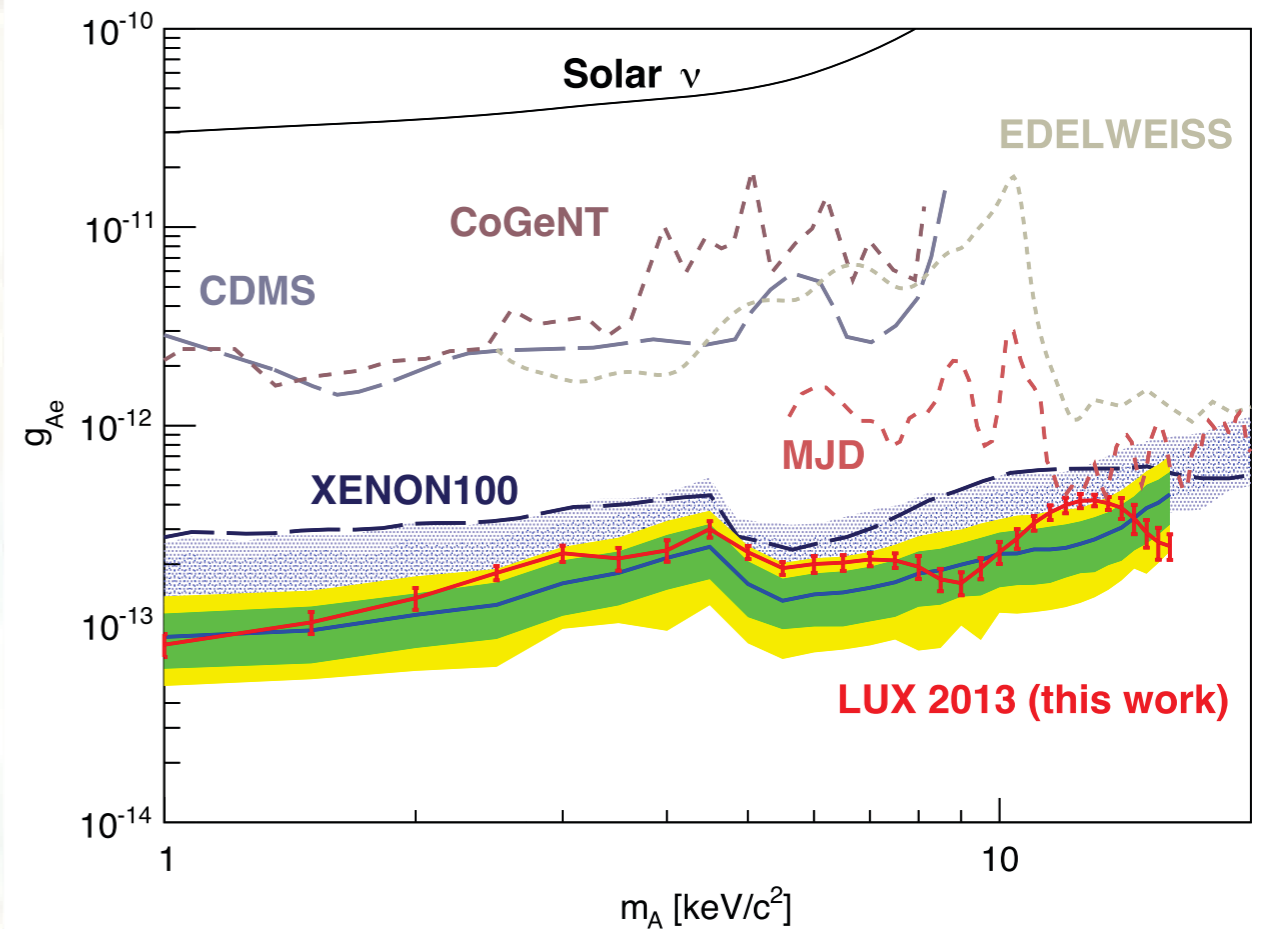
## Axions



LUX 2013 excludes  $g_{Ae} > 3.5 \times 10^{-12}$  (90% CL)

- $m_A > 0.12 \text{ eV}/c^2$  (DFSZ model)
- $m_A > 36.6 \text{ eV}/c^2$  (KSVZ model)

## ALPs



LUX 2013 excludes  $g_{Ae} > 4.2 \times 10^{-13}$  (90% CL) across the range 1-16 keV/c<sup>2</sup> in ALP mass

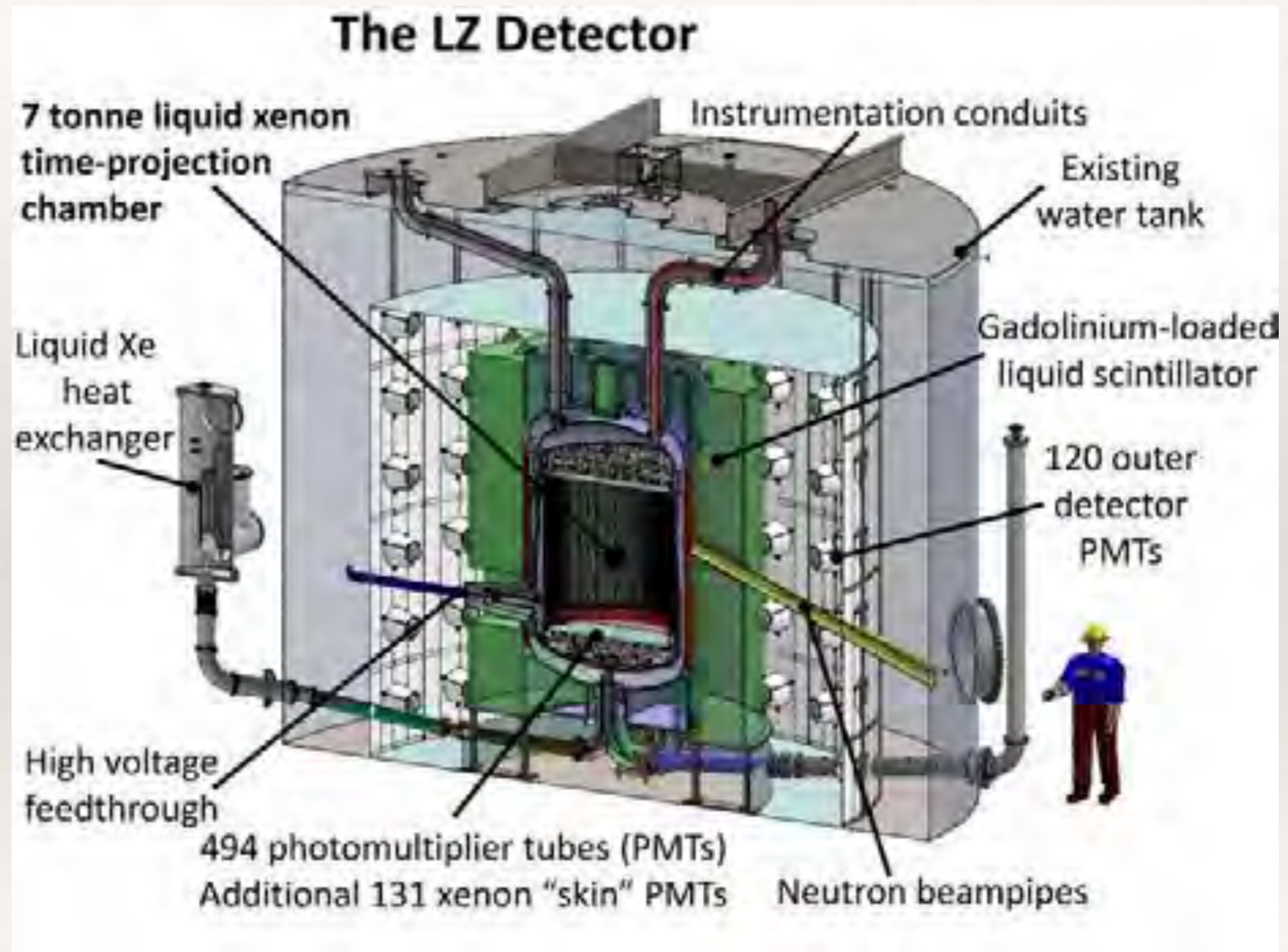
arXiv:1704.02297



# LUX-ZEPLIN



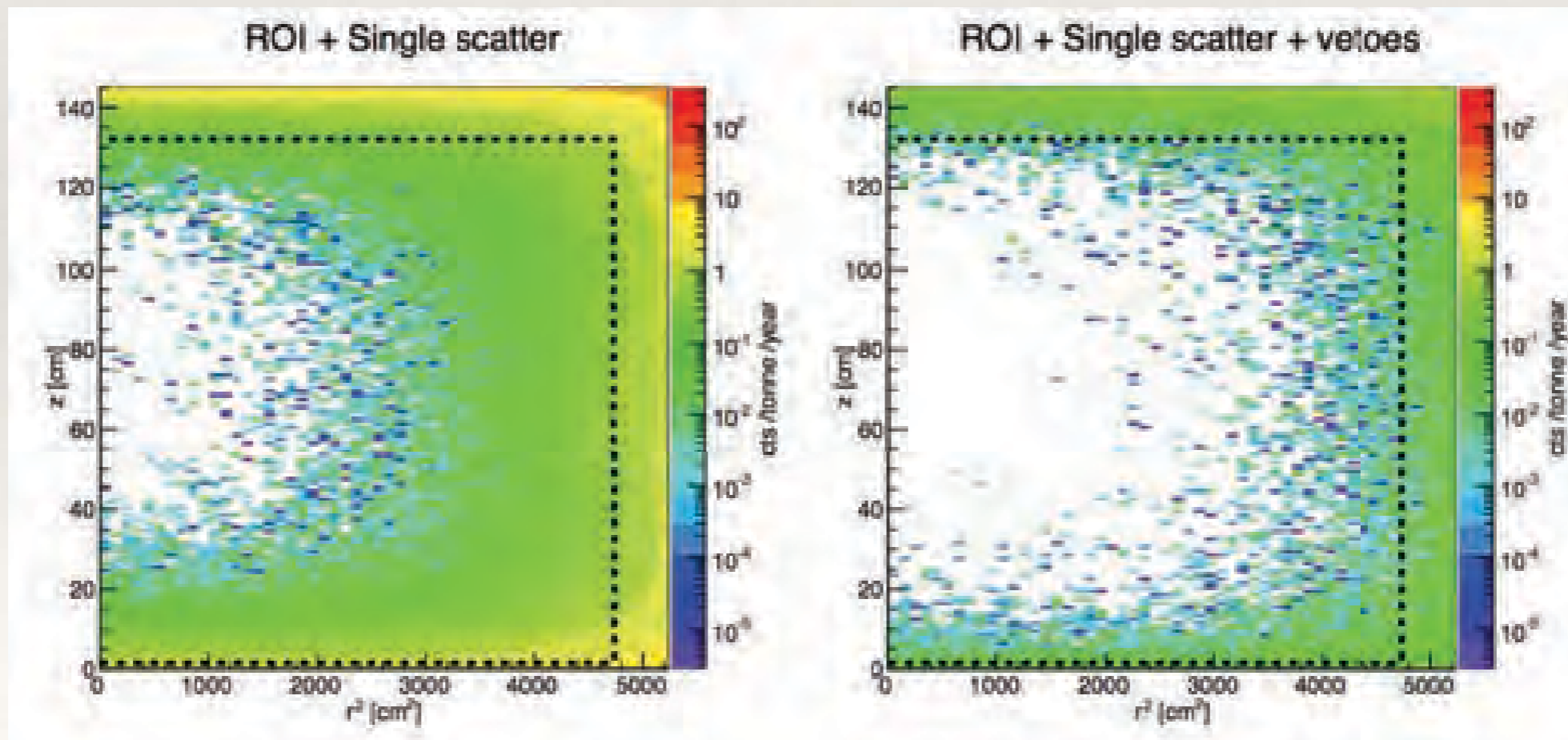
- ❖ 10 tonnes of LXe
  - ❖ 7 ton active
  - ❖ ~5.6 ton fiducial
- ❖ Will be installed in the laboratory used for LUX and use same water tank
- ❖ Liquid scintillator veto
- ❖ Instrumented skin region (additional veto)
- ❖ Commissioning starts in 2020, 1000 live-days run



# Backgrounds in LZ

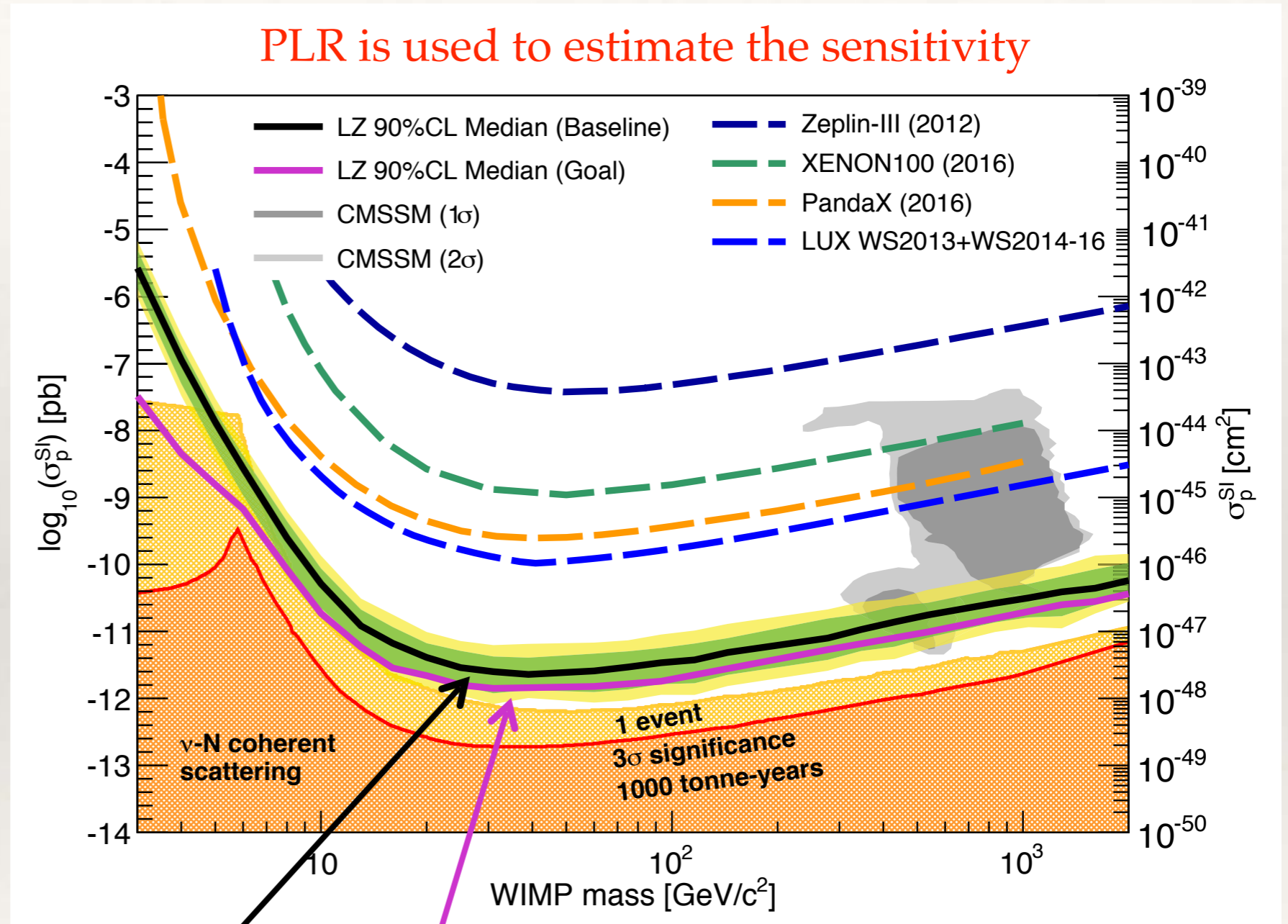
- ❖  $<7$  signal-like background events in 1000 live-days
  - ❖ Cut-and-count method, considering 99.5% ER discrimination and 50% NR acceptance
  - ❖ PLR used for sensitivity estimate
- ❖ Largest contribution comes from Rn
  - ❖ Followed by  $\nu$ -e solar neutrino scattering and atmospheric CNN scattering

## NR events from all detector components



# LZ Sensitivity to WIMPs

Detector Parameter	Baseline	Goal
Light collection	7.5%	12%
Extraction efficiency	95%	99%
Electron lifetime ( $\mu\text{s}$ )	850	2800
N-fold trigger	3	2
$^{222}\text{Rn}$ (mBq in 7 ton)	13.4	0.67



Baseline:  $2.3 \times 10^{-48} \text{ cm}^2$   
 (40 GeV WIMP)

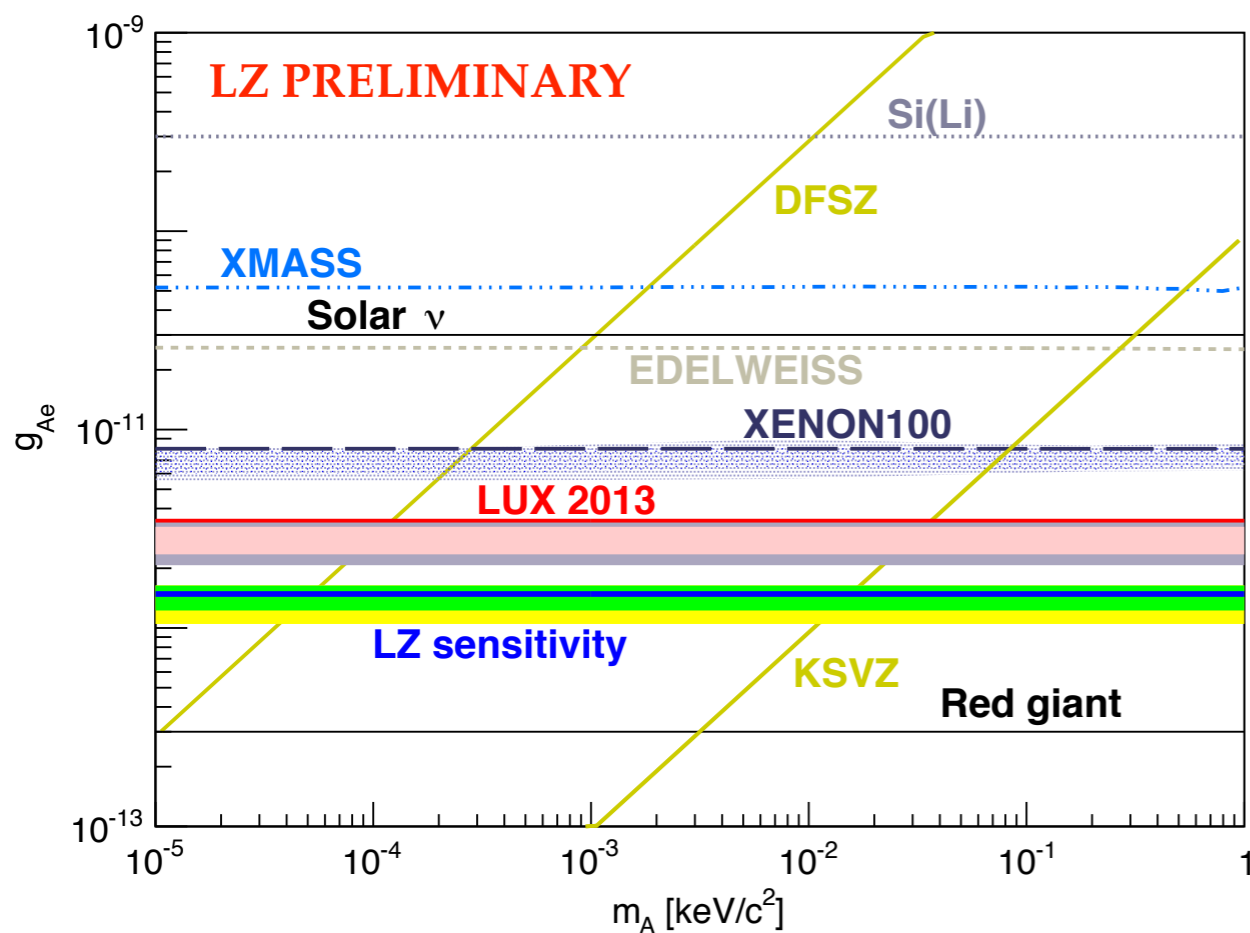
Goal:  $1.1 \times 10^{-48} \text{ cm}^2$



# LZ Sensitivity to Axions and ALPs

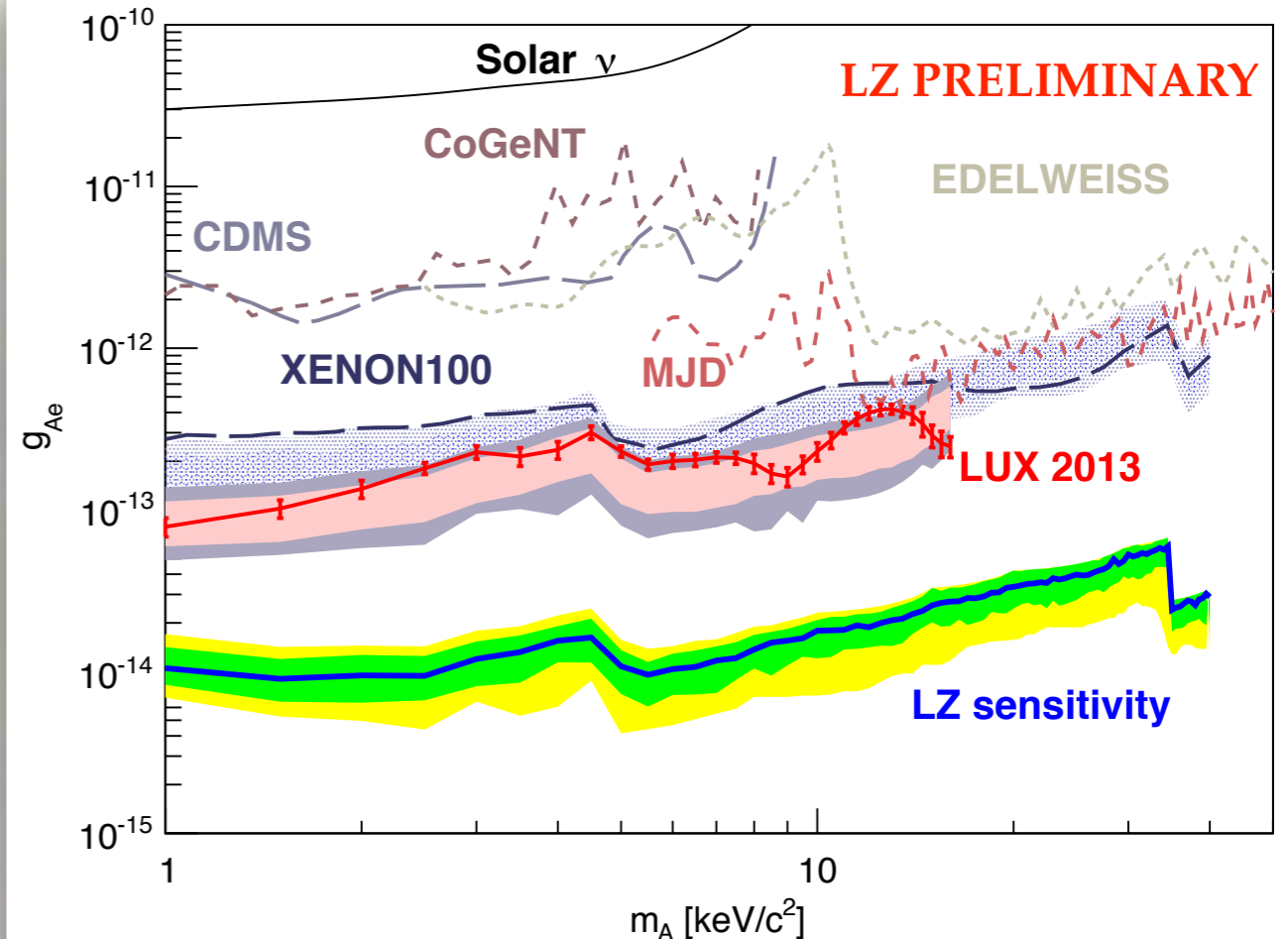
1000 live-days, 5.6 ton fiducial mass

**Axions**



excludes  $g_{Ae} > 1.5 \times 10^{-12}$  (90% CL)

**ALPs**



excludes  $g_{Ae} > 5.9 \times 10^{-14}$  (90% CL)  
across the mass range 1-40 keV / c<sup>2</sup>

# Summary

- ❖ LUX had 4 extremely productive years, and is still producing new physics results
  - ❖ It is the world leading WIMP-search experiment since 2013
  - ❖ Made significant improvements in the calibration of xenon detectors
  - ❖ Various additional analyses on-going, to explore the full physics potential
    - ❖ Annual modulation
    - ❖ Inelastic DM
    - ❖ Etc.
- ❖ The LZ collaboration is working to ensure a successful follow-up detector is deployed on or ahead of time





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# LUX & LZ Collaborations

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## LUX

20 institutions  
~100 scientists

[luxdarkmatter.org](http://luxdarkmatter.org)



## LZ

36 institutions  
~250 scientists, engineers, and  
technicians

[lzdarkmatter.org](http://lzdarkmatter.org)







LUX inside the water tank, 2012

Backup

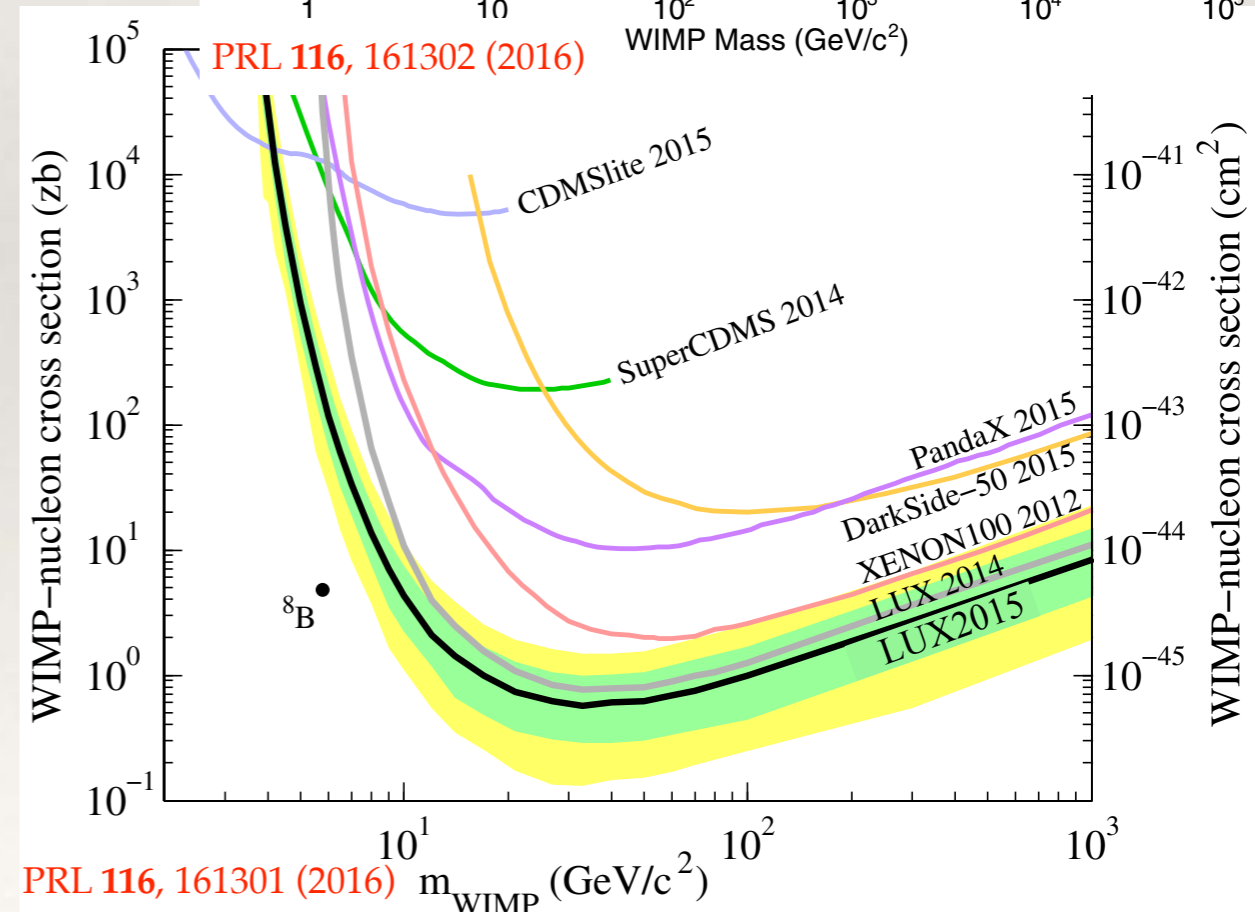
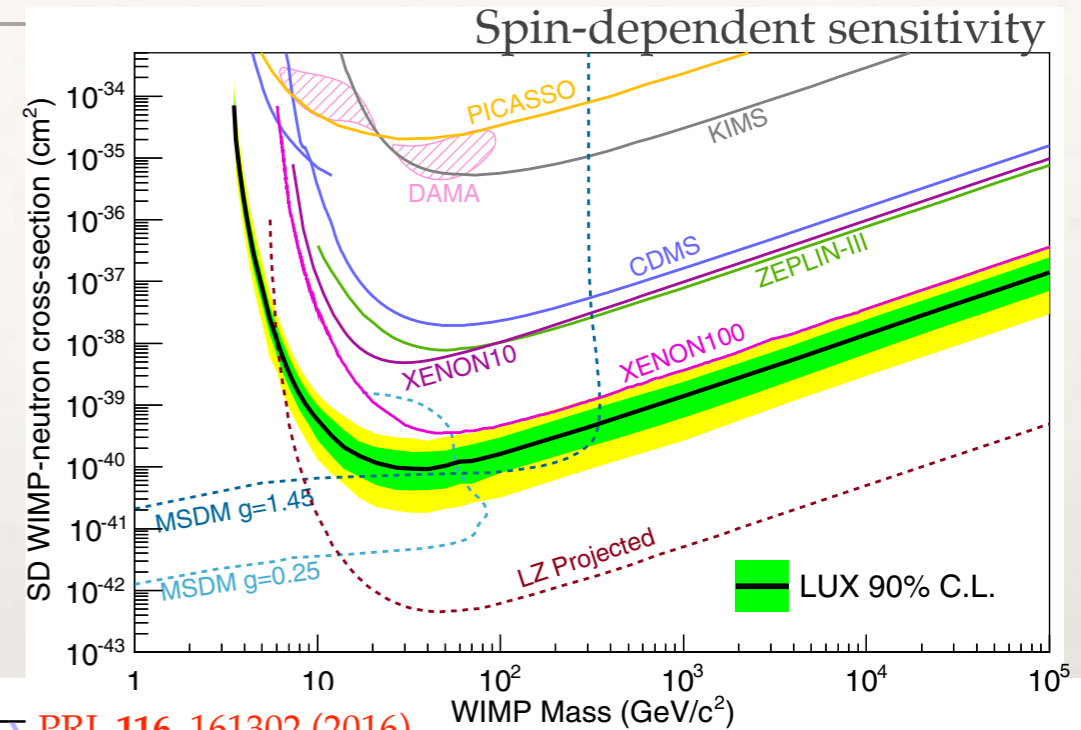
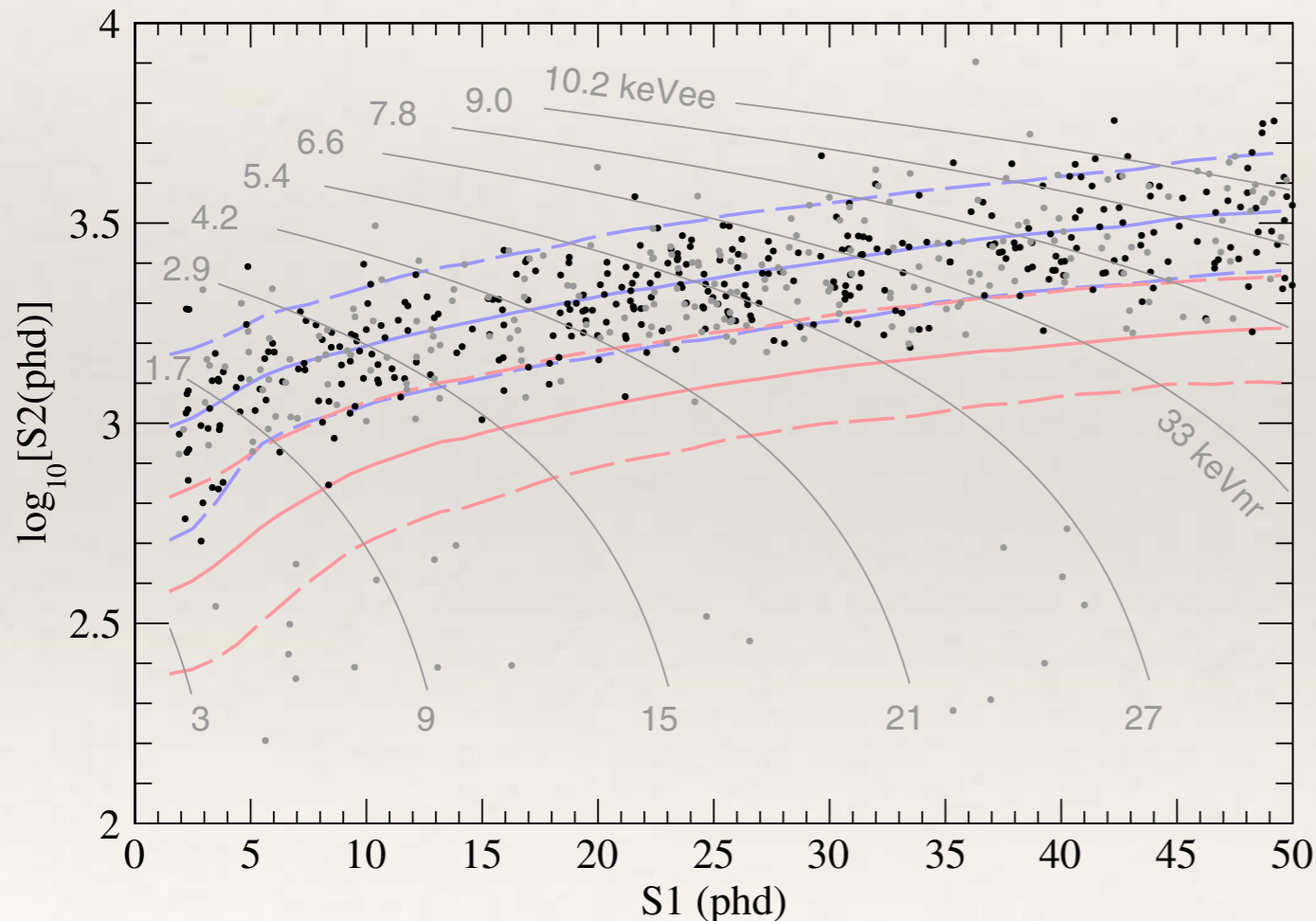
# LUX Status

- ❖ Detector removed from the water tank in Oct '16, after 3+ years
- ❖ Results for the full 95+332 live days exposure published last January in PRL, 118, 021303, 2017
- ❖ LUX currently has the most stringent SI WIMP-nucleon exclusion limits
- ❖ Various analyses on-going, to explore the full physics potential
  - ❖ Annual modulation
  - ❖ Inelastic DM
  - ❖ Etc.



# First Run Reanalysis

- ❖ Reanalysis of 2013 data (95 live-days)
- ❖ Using calibration results, improved low mass sensitivity

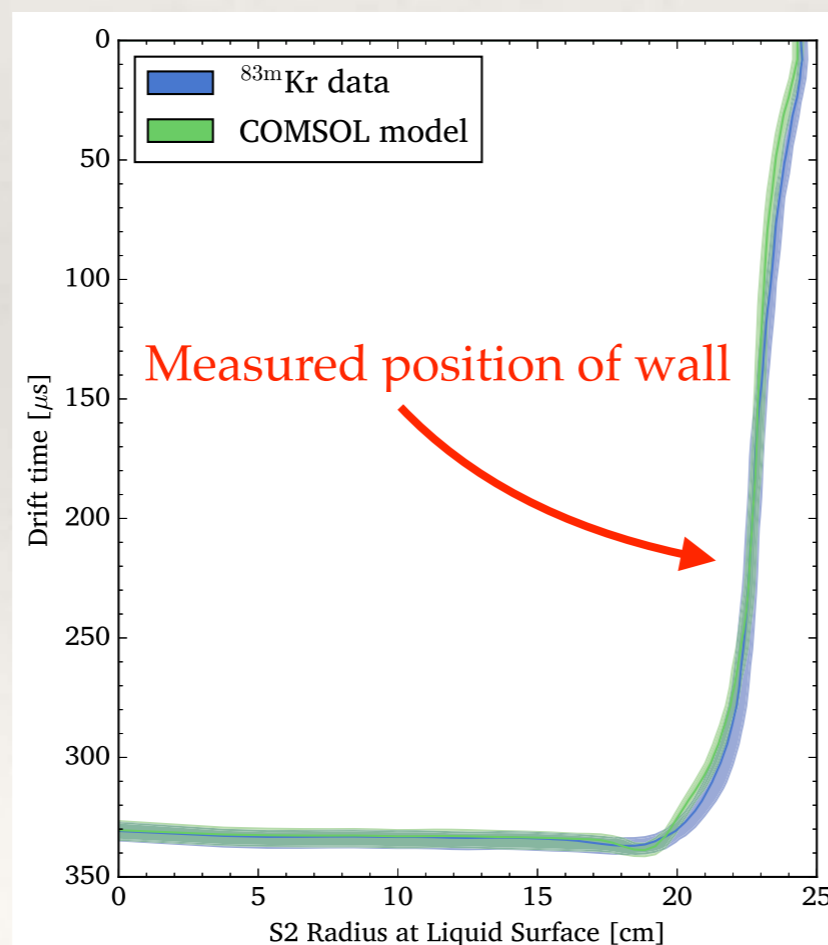
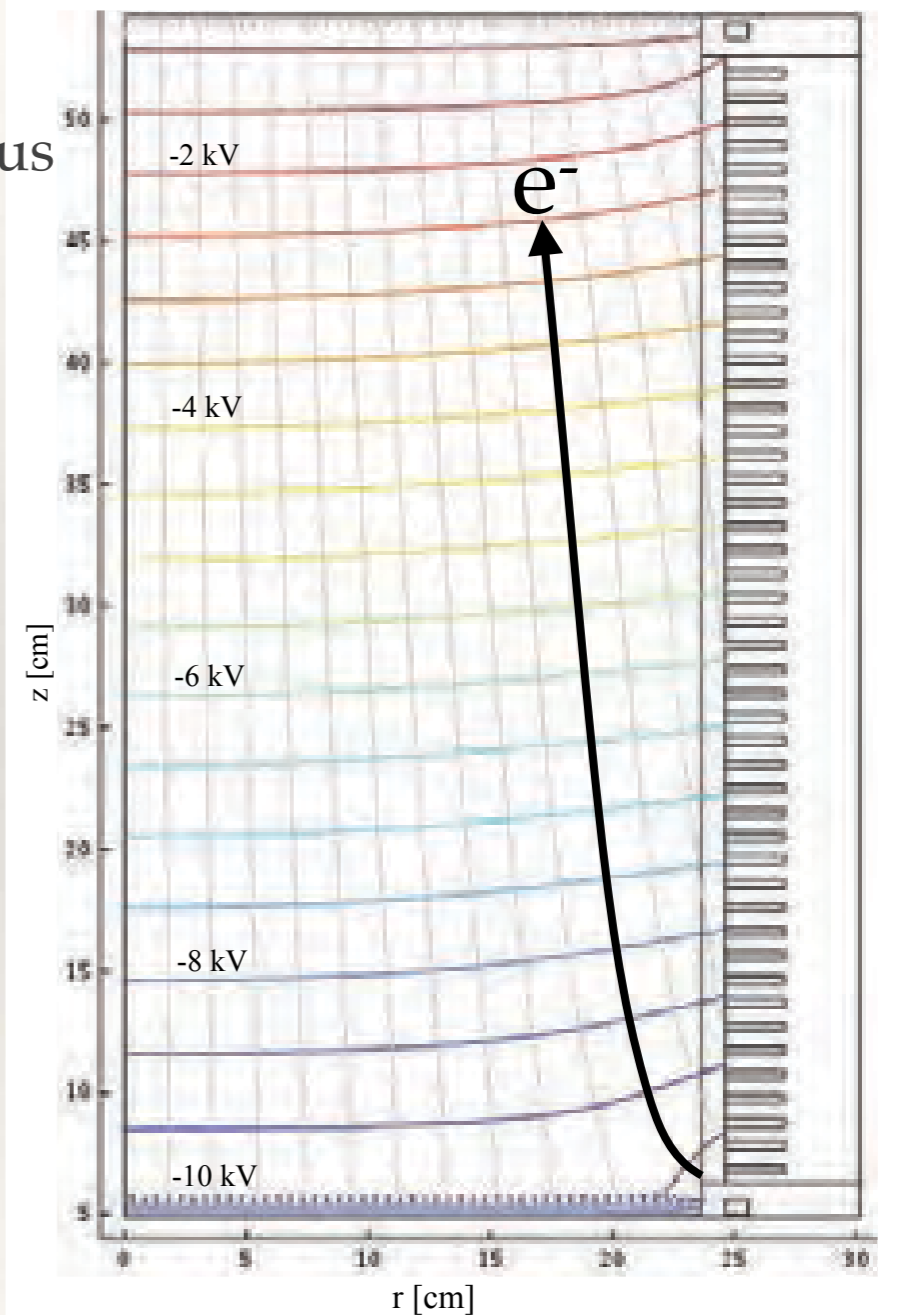




# S2 Coordinates

- ❖ Field shaping rings help ensure the uniformity of the field
- ❖ A small radial component pushes electrons inwards
- ❖ Reconstructed radius at the surface is smaller than real radius
- ❖ S2 coordinates are squeezed relatively to real coordinates
- ❖  $^{83m}\text{Kr}$  is uniform and can be used to estimate this effect

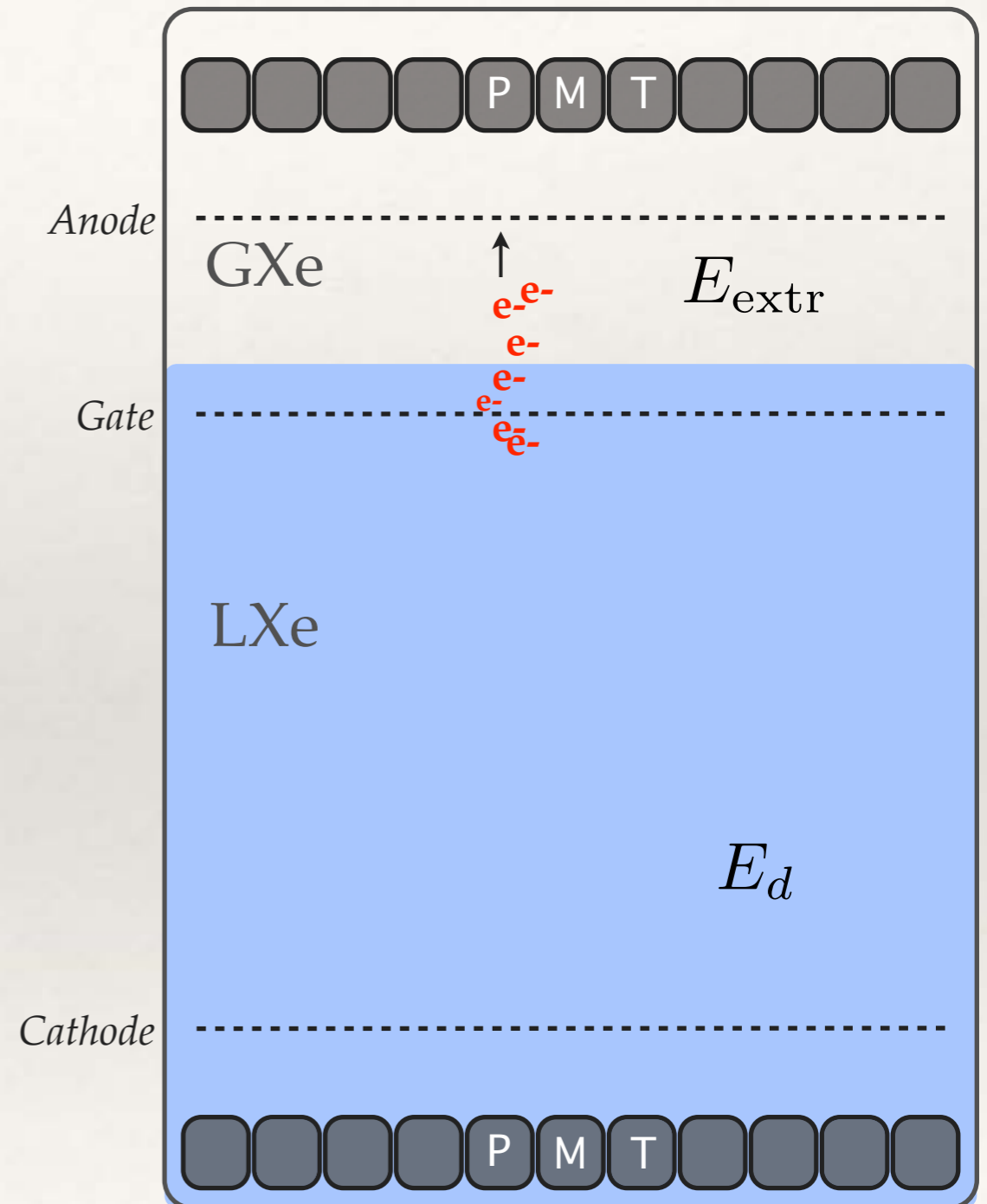
## COMSOL model of the field





# Grid conditioning

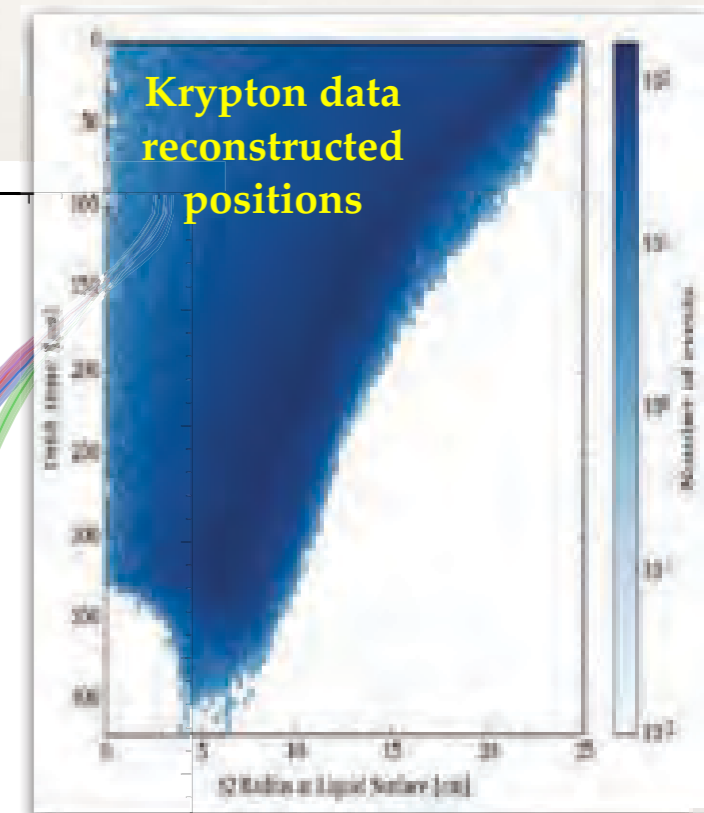
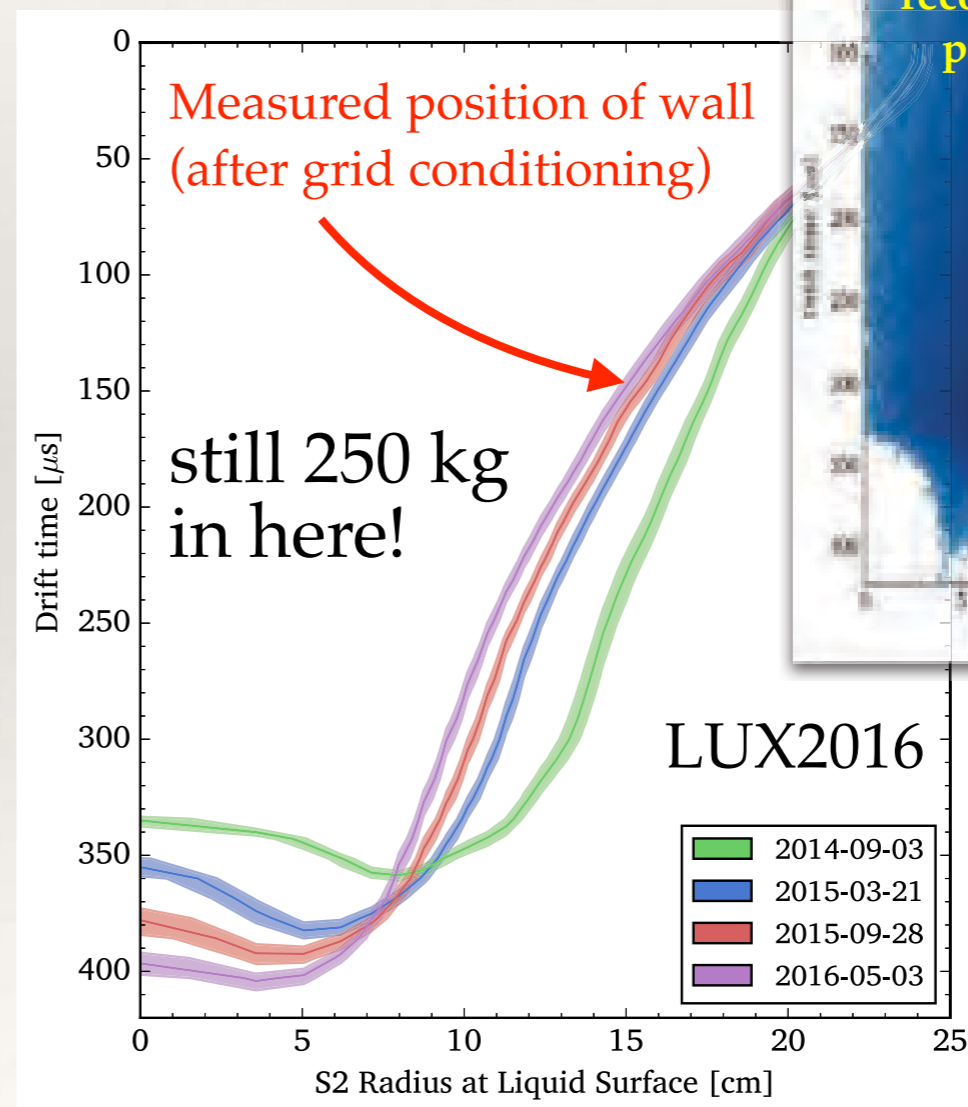
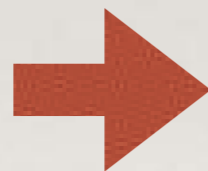
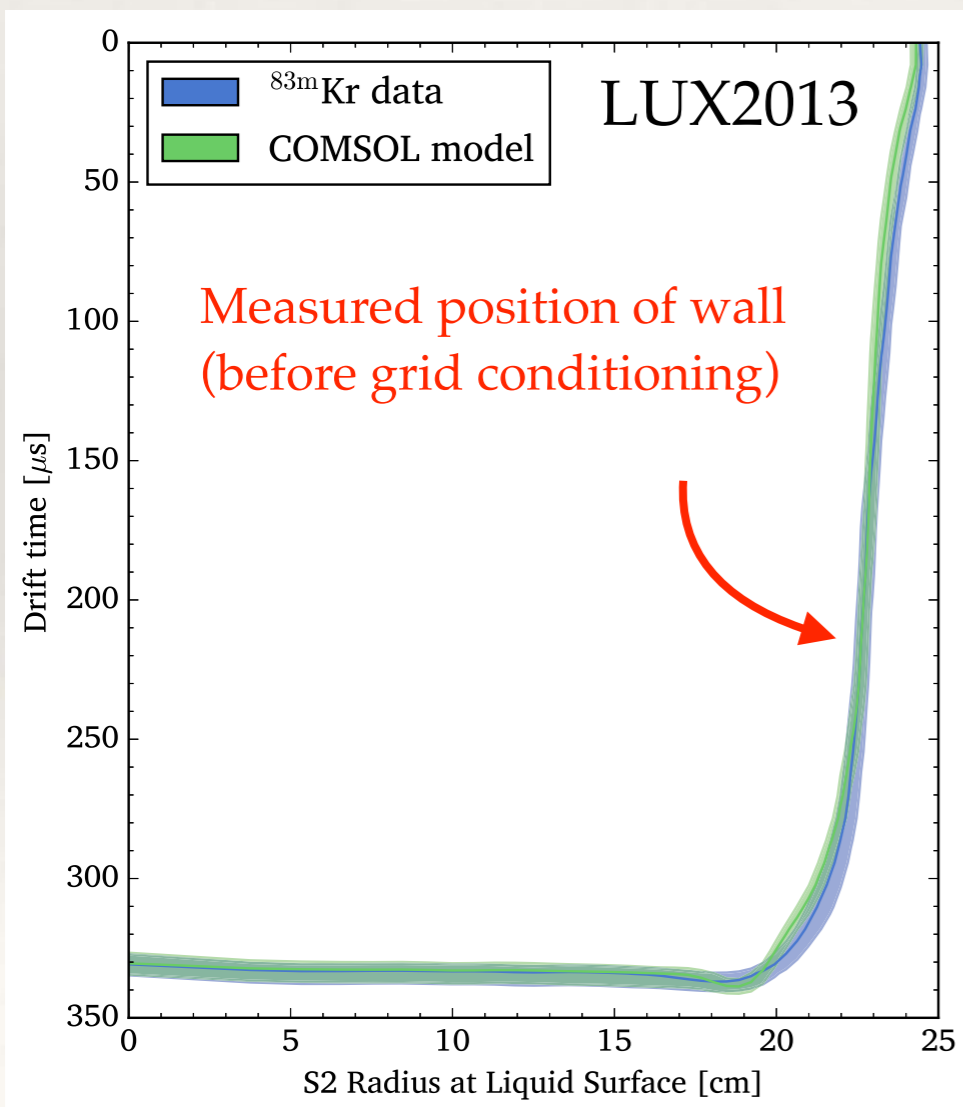
- ❖ In the 2013 run, extraction field efficiency was 50%
- ❖ Voltages were limited due to light production from the grids
  - ❖ thought to be from small sharp defects in the wires
- ❖ Grid conditioning: raising voltage above threshold for discharges and allow current to be drawn for long periods
  - ❖ ablates features on the wire surfaces
- ❖ Result:  
extraction efficiency raised to 75%



# Grid Conditioning – Side Effects

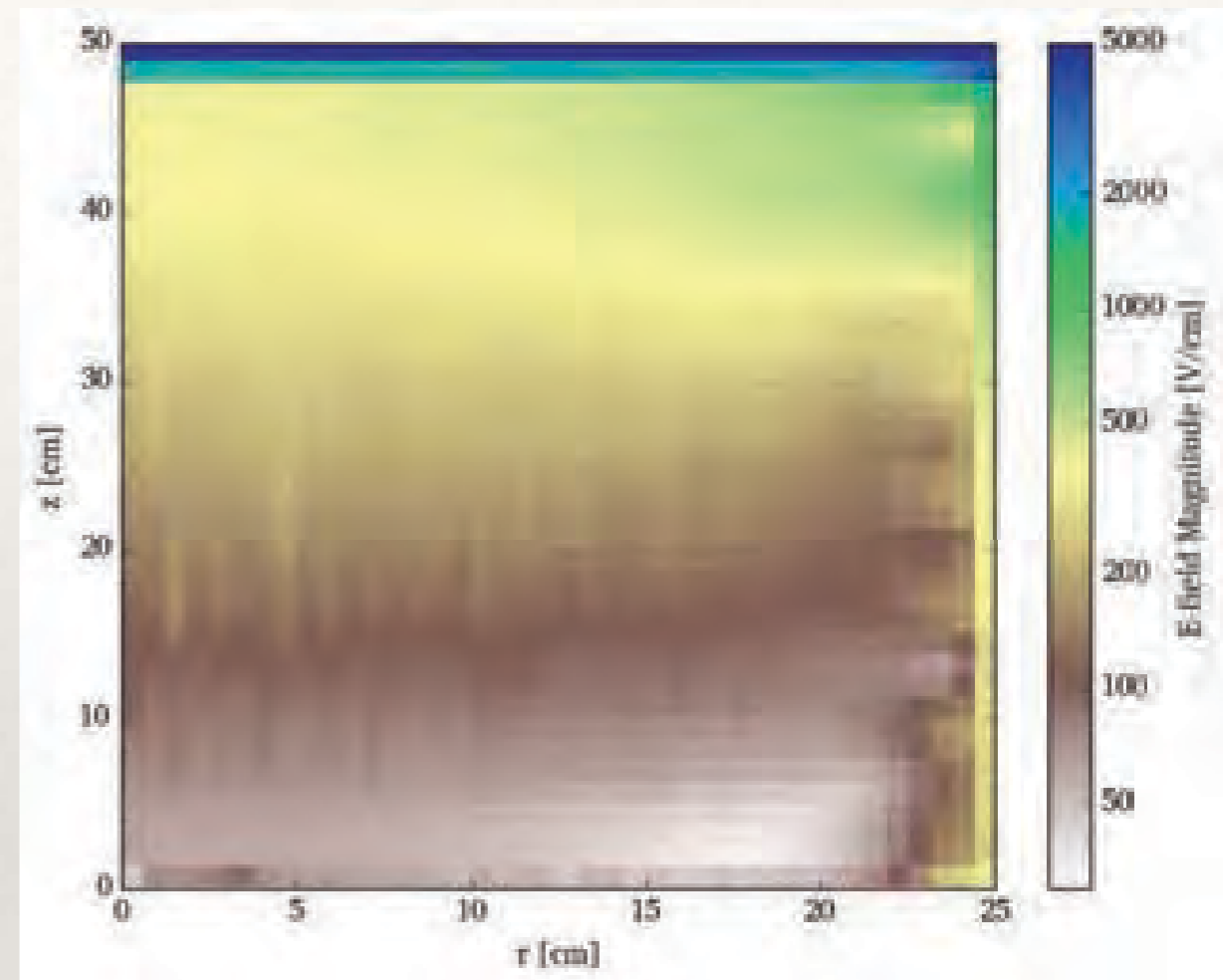
- ❖ Significant increase in the radial field component
- ❖ Consistent with charging up of the PTFE walls

- ❖ Wall position slowly varies with time
- ❖ The measured wall radius is not axially symmetric



# Modelling the Field

- ❖ 3-D model constructed in the COMSOL Multiphysics® FEM simulation software.
- ❖ Charges are added (non-uniformly) to the walls and the 3-D field is calculated.
- ❖ The 3D field map is combined with the known field dependence on the electron drift speed to obtain a mapping between “real space” and “S2 space” coordinates.
- ❖ Results are compared to the observed  $^{83m}\text{Kr}$  distribution, and the charge densities are iterated until a best-fit is obtained.
- ❖ Charge is concentrated in the upper portion of the PTFE walls



Calibration data allows for robust calculation of fiducial volume

$$\text{Fiducial Mass} = 251 \text{ kg} \times \frac{\text{Num. evts. passing fiducial cut}}{\text{Num. evts. total}}$$

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# Dealing with a Varying Field

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- ❖ How to deal with a field that varies in **space** and **time**?
  - ❖ Divide the run in  $M$  time bins
  - ❖ Divide the detector in  $N$  vertical sections
  - ❖ In each of the  $M \times N$  segments, consider a uniform detector model for ER and NR response (*i.e.* constant applied field and other detector parameters)
  - ❖ In the end,  $4 \times 4$  segments were used — **16 independent detectors** (a compromise between field uniformity and calibration data statistics)
  - ❖ NEST used to model the S1 and S2 response in each of the 16 detectors

# Detector Calibrations

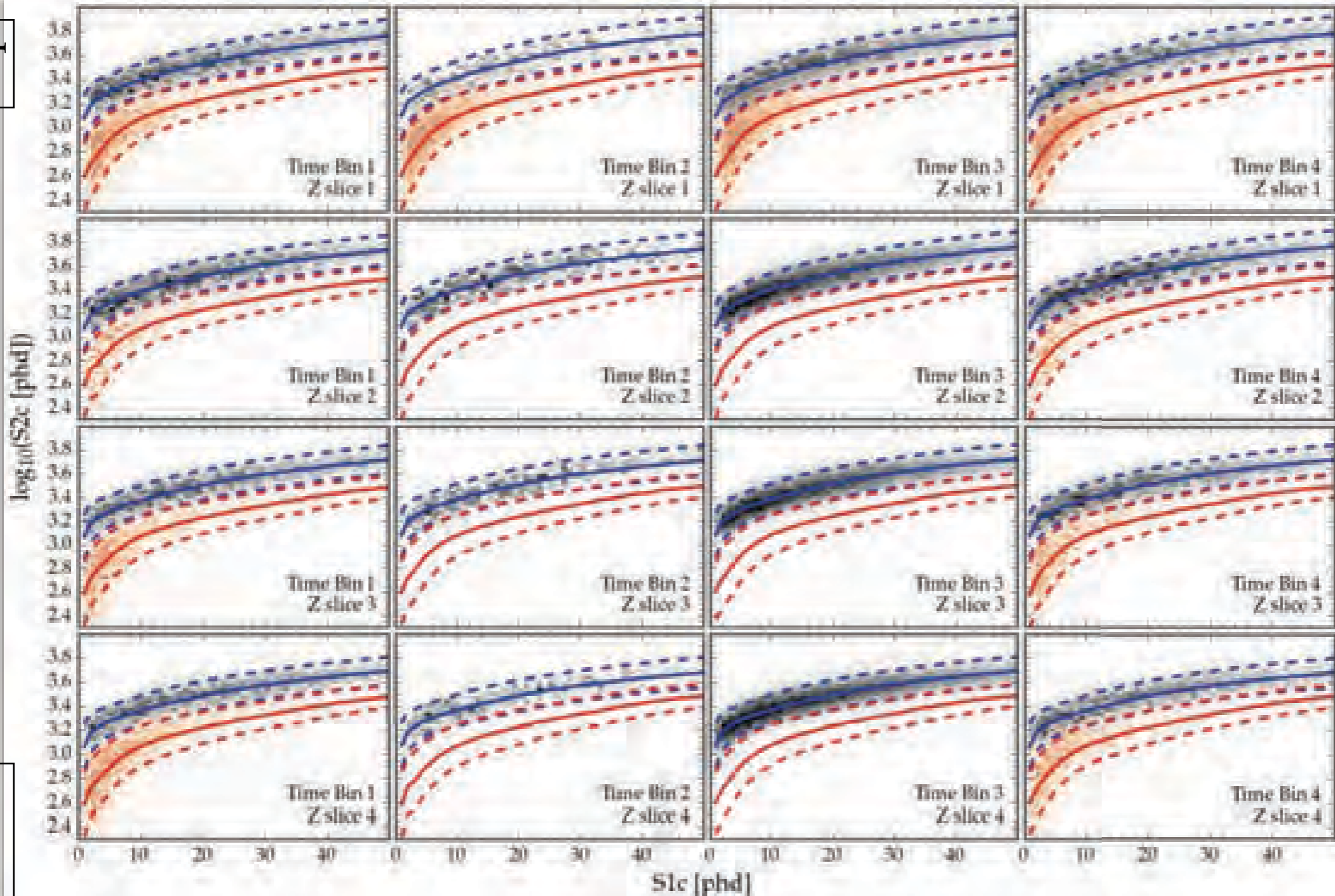
Sep.2014

May 2016

Top



Bottom



Gray density:  
CH<sub>3</sub>T  
calibration (ER)

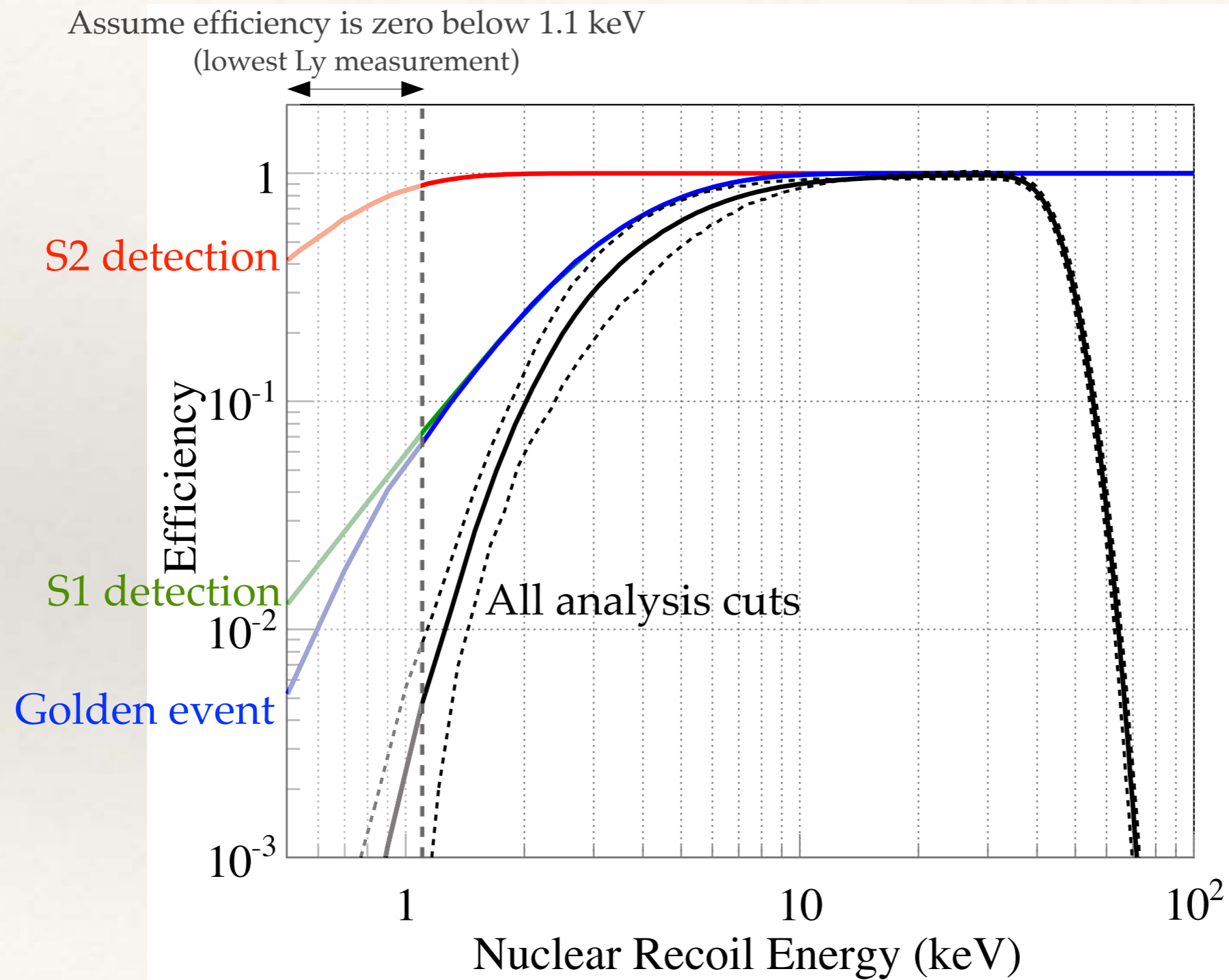
Orange density:  
DD calibration  
(NR)

Solid lines:  
NEST model,  
ER, NR band  
mean.

Dashed lines:  
NEST model,  
10-90 percentile.

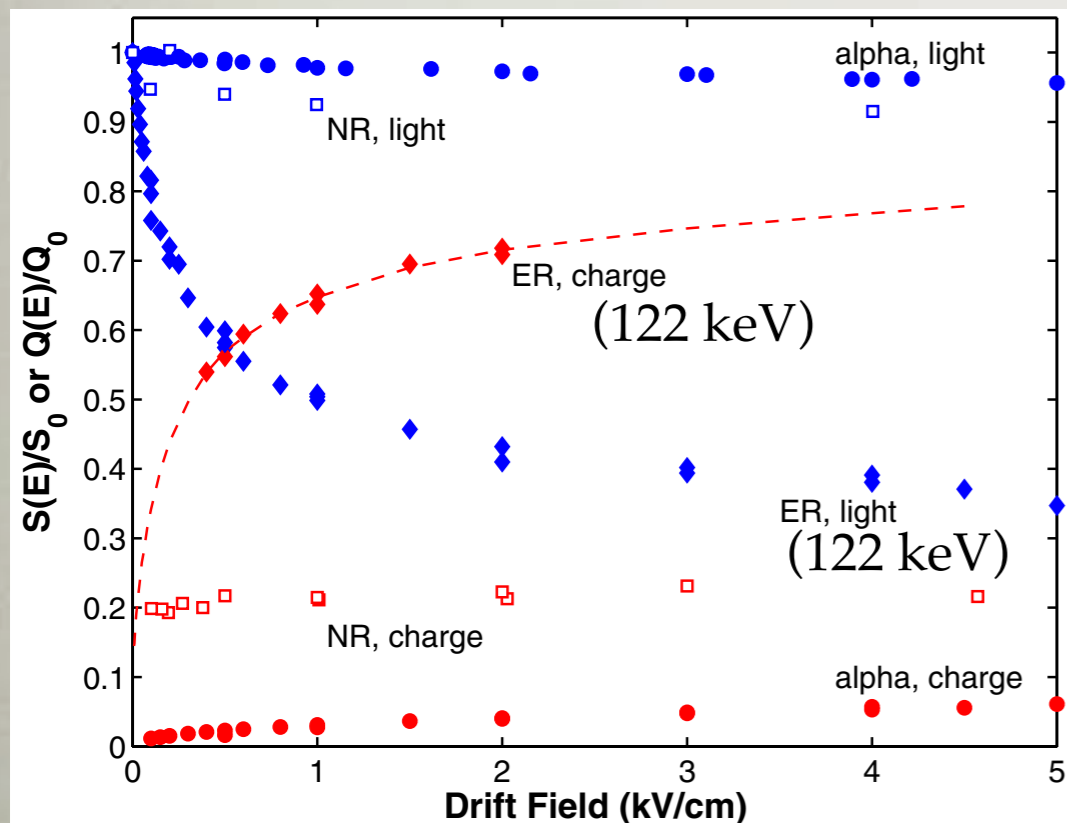


# Efficiency for NR Events

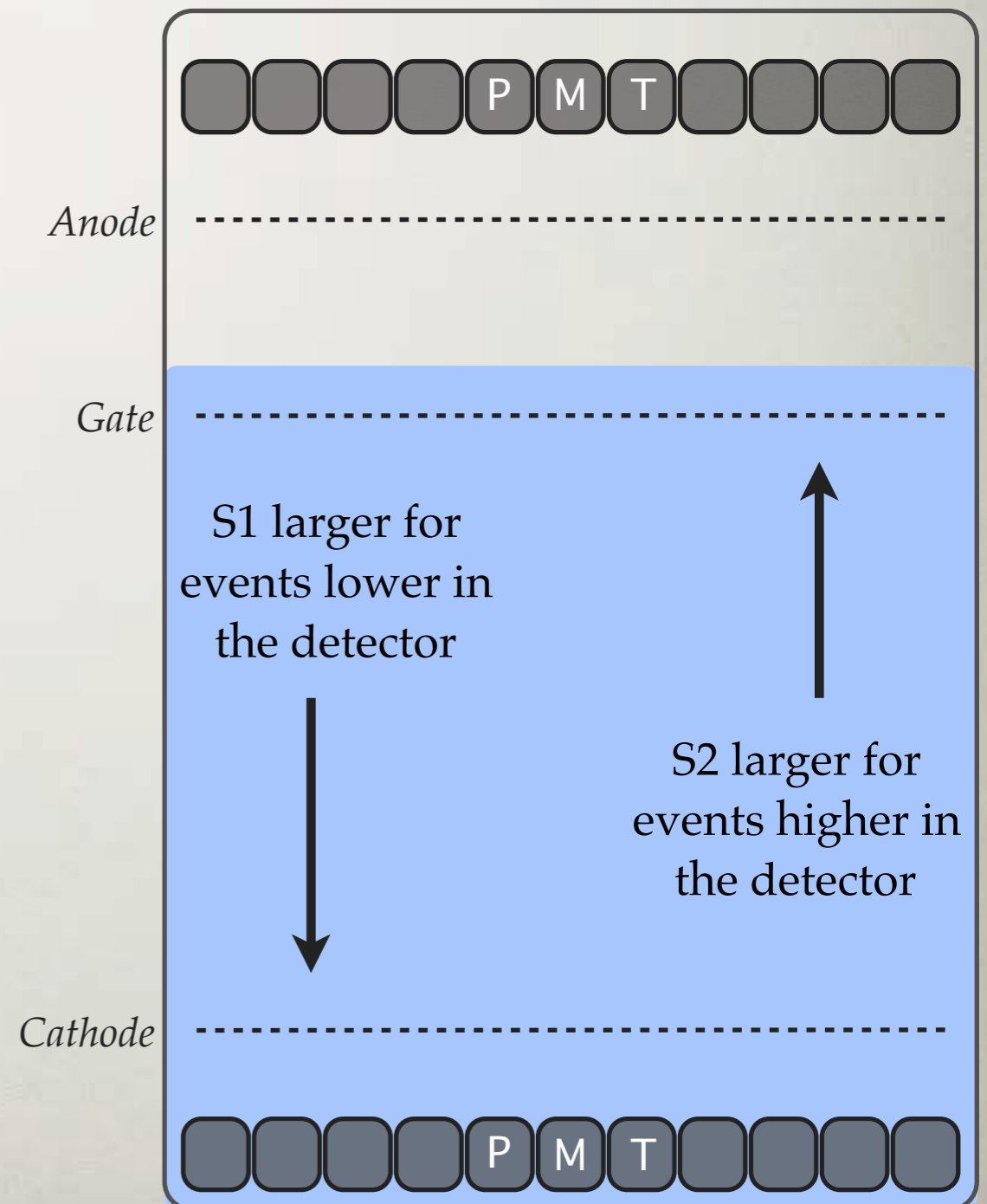


# Position corrections

- Size of the S1 depends on the location of the event (due to geometrical light collection), and S2 (due to electronegative impurities)
- Normally, one develops a geometrical correction factor by flat fielding a mono-energetic source.
- However, a spatially varying E-field ALSO affects S1 and S2 sizes, but differently for every particle type and energy.

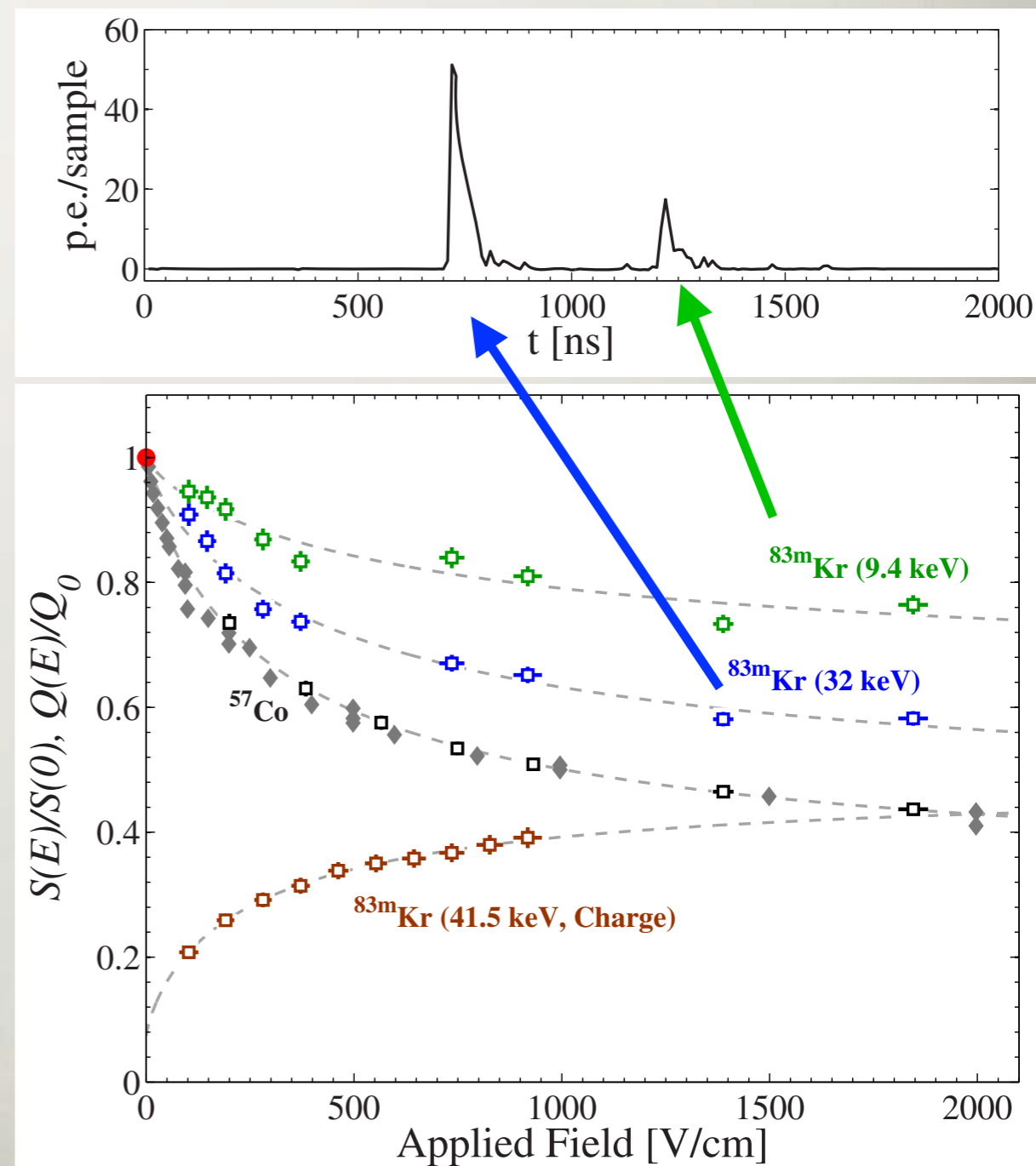
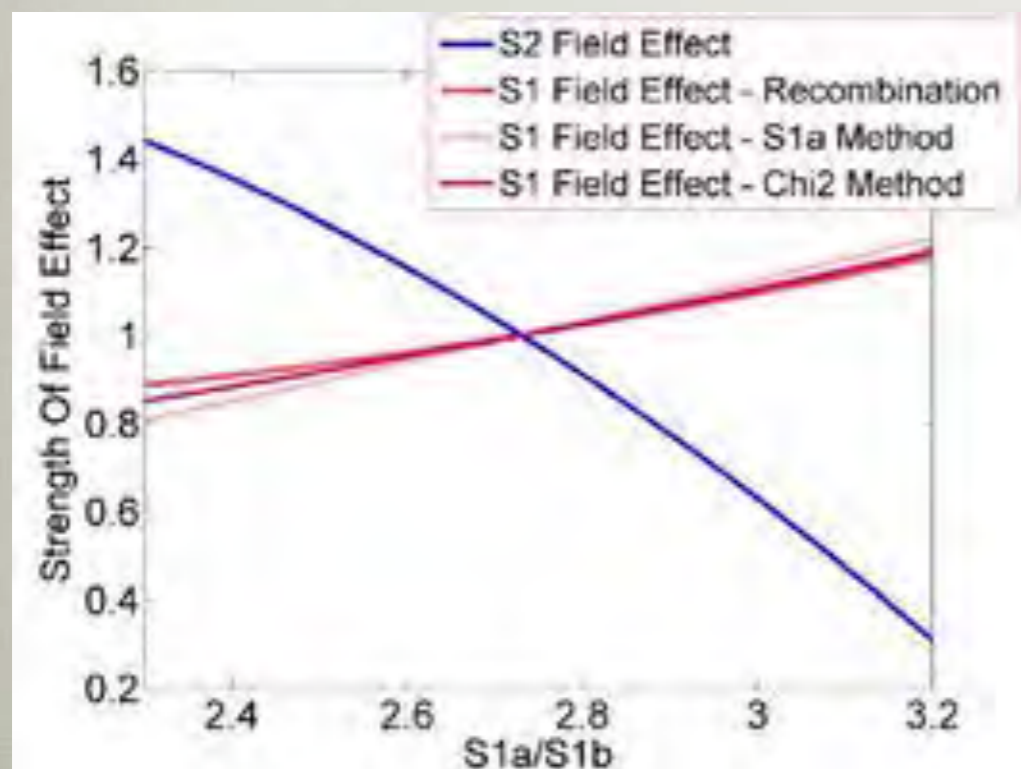


E. Aprile *et al.* PRL 97 (2006) 081302, astro-ph/0601552



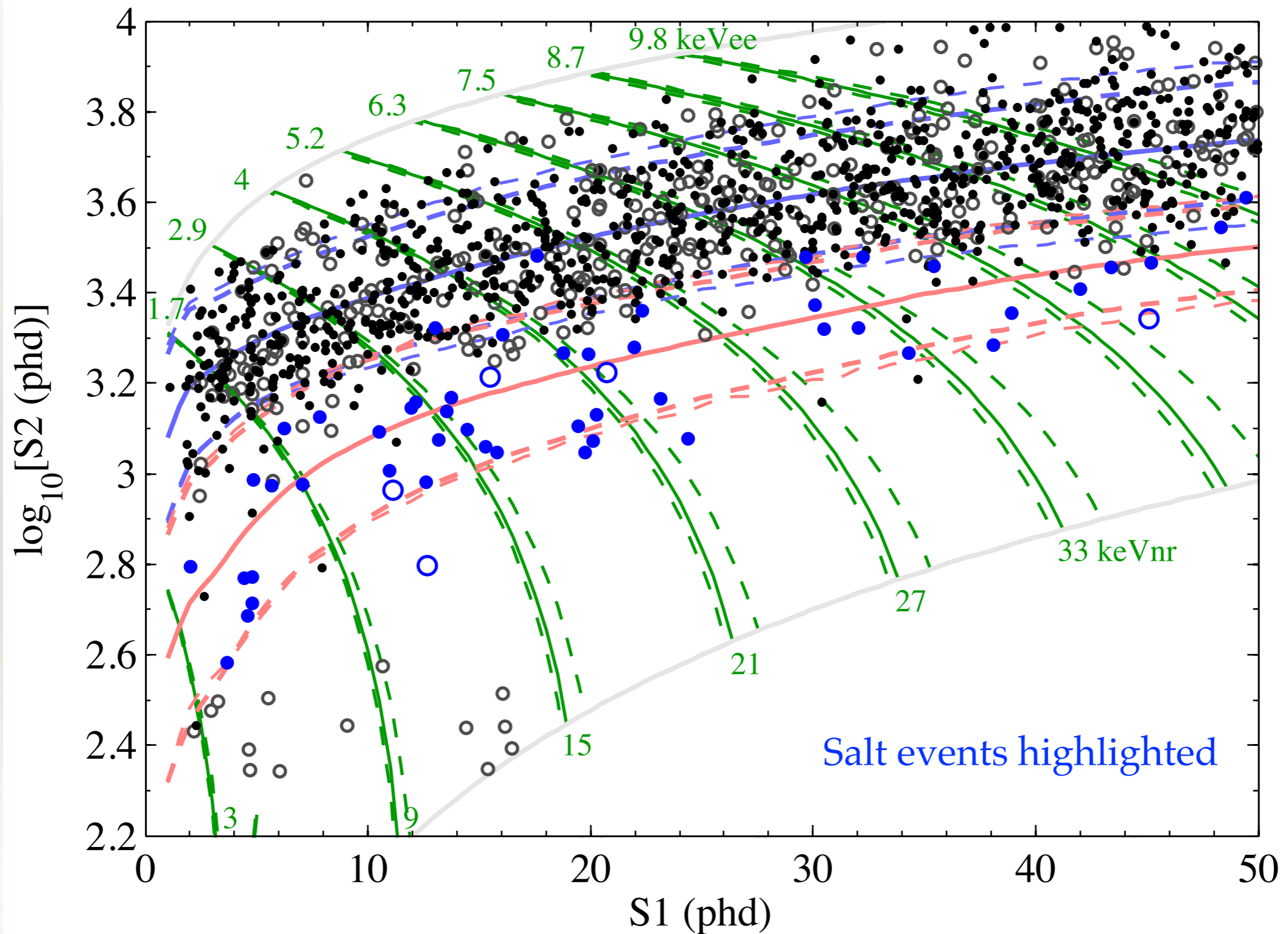
# Position corrections

- Our strategy is:
  - ▶ Disentangle position effects from field effects.
  - ▶ Apply a correction to account for position effects only.
- $^{83\text{m}}\text{Kr}$  has two decays close in time. The ratio of the first-to-second S1 pulse area depends on field alone. This allows us to measure the component of variation due to applied field alone.



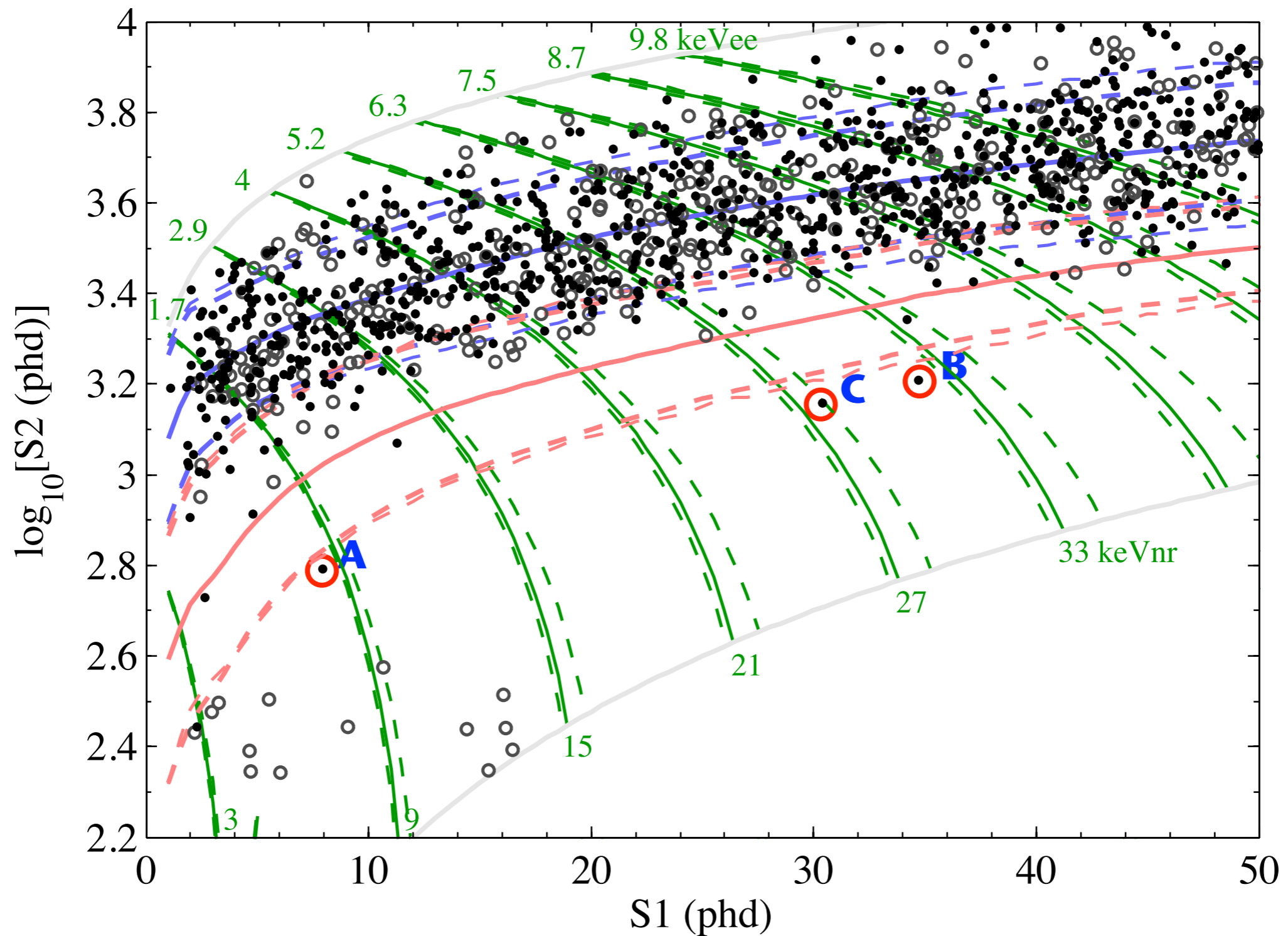
A.Manalaysay *et al.*, Rev.Sci.Instrum. **81** (2010) 073303, 0908.0616

# WIMP-Search Data





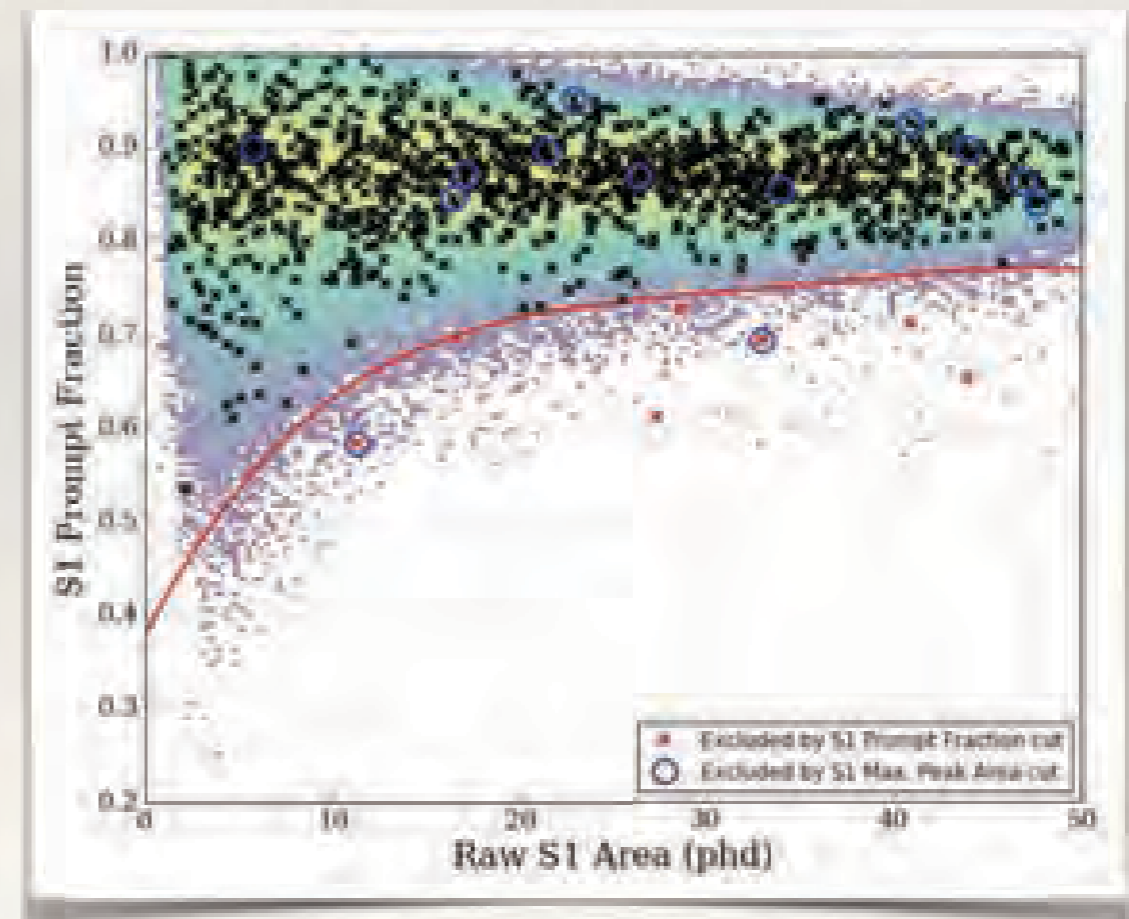
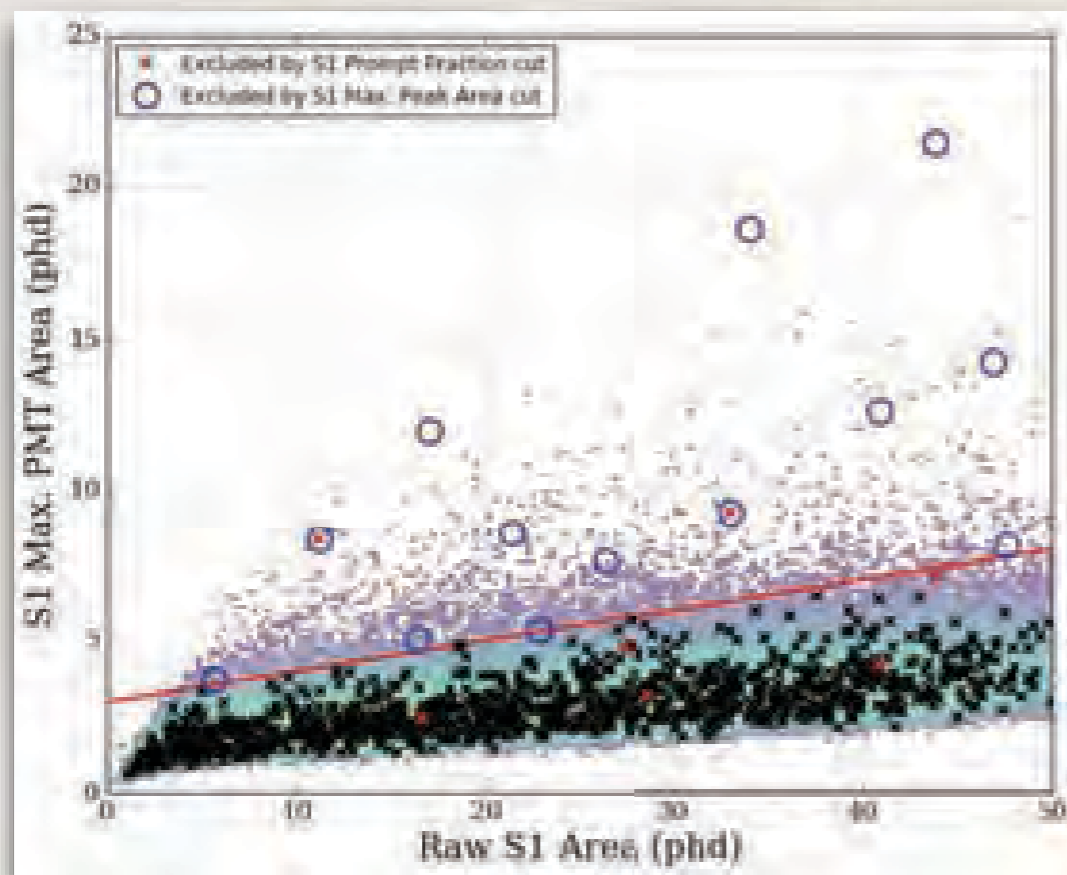
# WS Data – Pathological Events





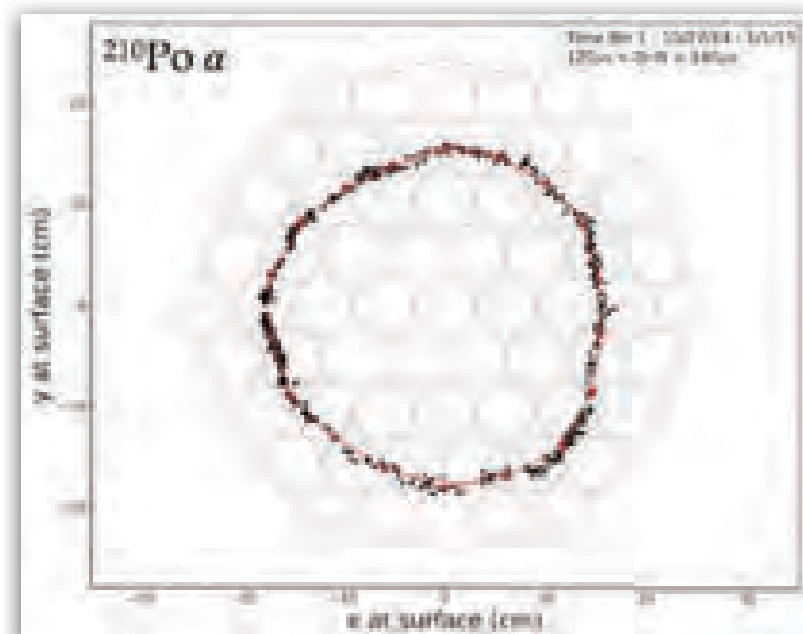
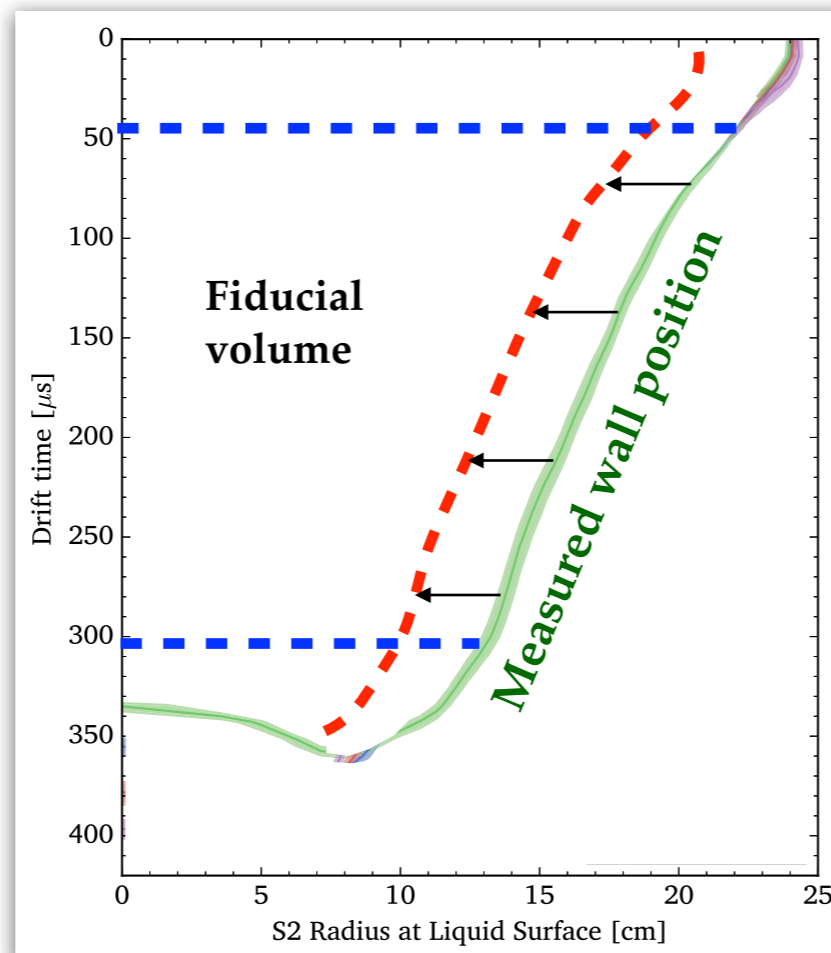
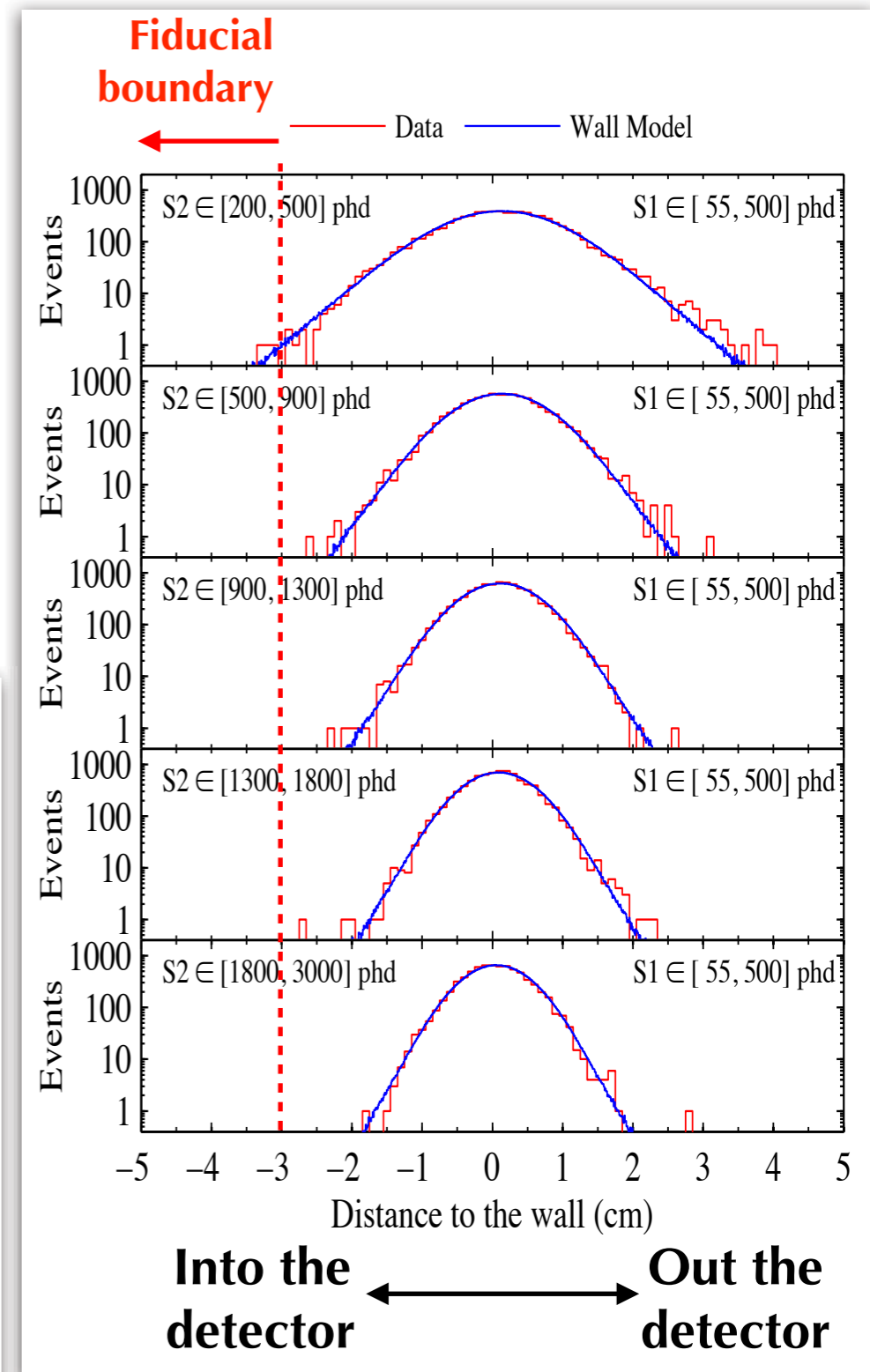
# Post-Unsalting Quality Cuts

- ❖ After unsalting the data, we revisited all the events below the ER band
- ❖ Two populations of rare pathological events were identified
  - ❖ Events A and B have 80% of their S1 light in a single top edge PMT
  - ❖ Event C has time structure consistent with a gas scintillation event
- ❖ Cuts for these pathologies were developed on DD and CH3T calibration data.
- ❖ Flat signal acceptance of 98.5% with both cuts applied



# Wall-surface backgrounds

- $^{238}\text{U}$  late chain plate-out on PTFE surfaces survives as  $^{210}\text{Pb}$  and its daughters (mainly  $^{210}\text{Bi}$  and  $^{210}\text{Po}$ ).
- Betas and  $^{206}\text{Pb}$  recoils travel negligible distance, but they can be reconstructed some distance from the wall as a result of position resolution (especially for small S2s).
- These sources can be used to define the position of the wall in measured coordinates, for the 4 data bins and any combination of drift-time and  $\phi$ .
- The boundary of the fiducial volume is defined at 3 cm from the observed wall in S2 space and for a drift time between 50 and 300  $\mu\text{s}$ .



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# Backgrounds in LZ

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5.6 ton fiducial, 1000 live-days  
~1.5 - 6.5 keV, single scatters, no coincident veto

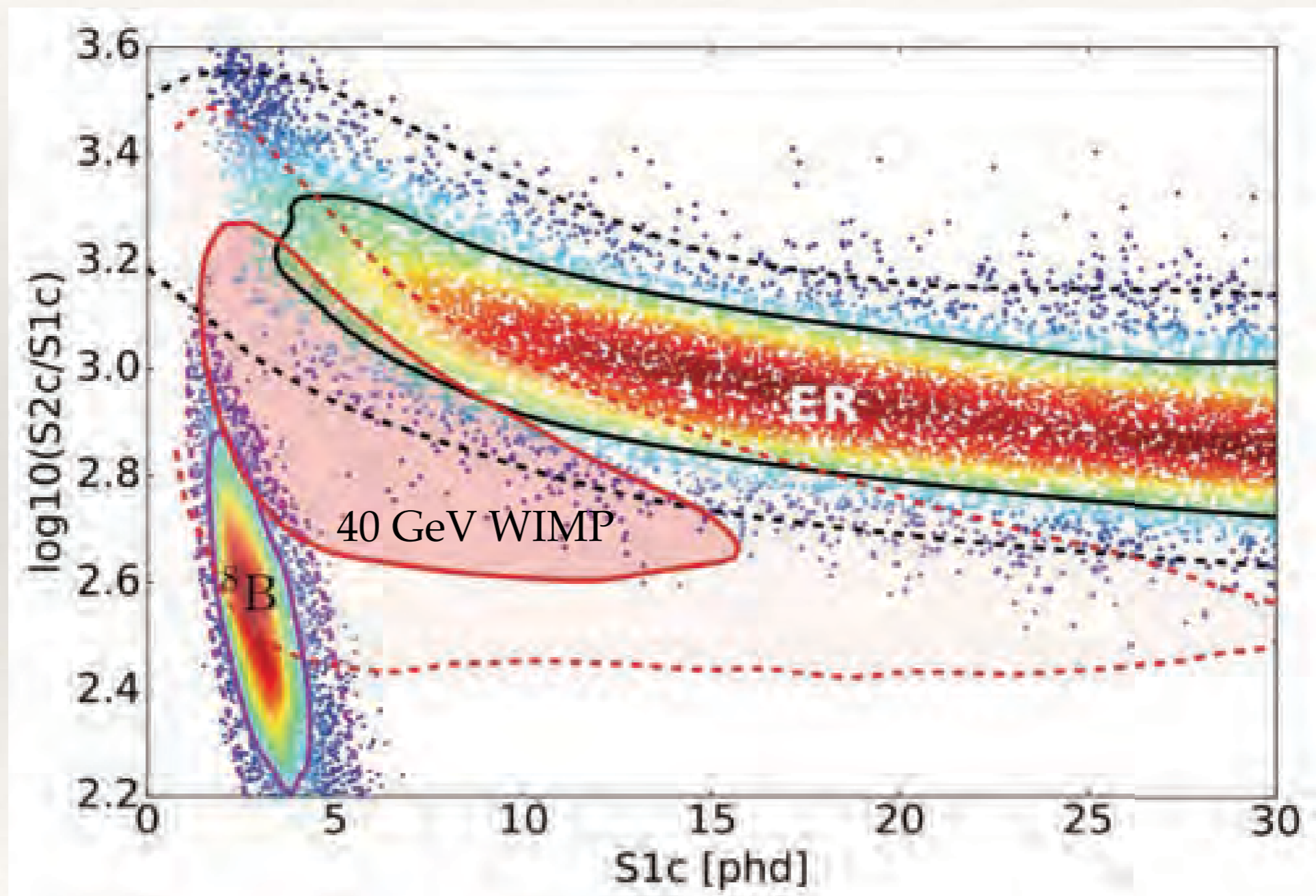
Background Source	ERs	NRs
Detector Components	6.2	0.07
Dispersed Radionuclides — Rn, Kr, Ar	911	—
Laboratory and Cosmogenics	4.3	0.06
Surface Contamination and Dust	0.19	0.37
Physics Backgrounds — $2\beta$ decay, neutrinos*	322	0.72

**Total (after 99.5% discrimination and 50% NR efficiency)**

**6.83**

# $^8\text{B}$ Background in LZ

With PLR, background from  $^8\text{B}$  affects low-mass WIMPs only





# The collaboration

## Berkeley Lab / UC Berkeley

Bob Jacobsen	PI, Professor
Murdock Gilchriese	Senior Scientist
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Peter Sorensen	Scientist
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## Imperial College London

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Paulo Bras	Graduate Student

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Wing To	Research Associate
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Wei Ji	Graduate Student
T.J. Whitis	Graduate Student

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Brian Tennyson	Graduate Student
Lucie Tvrznikova	Graduate Student
Nicole Larsen	Graduate Student

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James Morad	Graduate Student
Sergey Uvarov	Graduate Student

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## University of Wisconsin

Kimberly Palladino	PI, Asst Professor
Shaun Alsum	Graduate Student



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# LZ Collaboration

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March 2017

36 institutions — 250 scientists, engineers, and technicians



- 1) Center for Underground Physics (South Korea)
- 2) LIP Coimbra (Portugal)
- 3) MEPHI (Russia)
- 4) Imperial College London (UK)
- 5) STFC Rutherford Appleton Lab (UK)
- 6) University College London (UK)
- 7) University of Bristol (UK)
- 8) University of Edinburgh (UK)
- 9) University of Liverpool (UK)
- 10) University of Oxford (UK)
- 11) University of Sheffield (UK)
- 12) Black Hill State University (US)
- 13) Brookhaven National Lab (US)
- 14) Brown University (US)
- 15) Fermi National Accelerator Lab (US)
- 16) Lawrence Berkeley National Lab (US)
- 17) Lawrence Livermore National Lab (US)
- 18) Northwestern University (US)
- 19) Pennsylvania State University (US)
- 20) SLAC National Accelerator Lab (US)
- 21) South Dakota School of Mines and Technology (US)
- 22) South Dakota Science and Technology Authority (US)
- 23) Texas A&M University (US)
- 24) University at Albany (US)
- 25) University of Alabama (US)
- 26) University of California, Berkeley (US)
- 27) University of California, Davis (US)
- 28) University of California, Santa Barbara (US)
- 29) University of Maryland (US)
- 30) University of Massachusetts (US)
- 31) University of Michigan (US)
- 32) University of Rochester (US)
- 33) University of South Dakota (US)
- 34) University of Wisconsin – Madison (US)
- 35) Washington University in St. Louis (US)
- 36) Yale University (US)